

OPH LEARN · BOOK 1

# How Observer Consistency Creates Space, Time, and Gravity

From Information to Einstein's Universe

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Observer-Patch Holography  
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What this textbook is about: What if the laws of gravity, the force that holds planets in orbit, bends light around black holes, and shapes the entire universe, are not fundamental at all? What if gravity is just what happens when independent observers are forced to agree?

That is exactly the route Observer-Patch Holography (OPH) explores. Starting from one radical idea, no single observer sees everything, and demanding that overlapping views of reality be consistent, OPH recovers Einstein's field equations of General Relativity, and from those, Newton's laws of gravity, Lagrangian mechanics, and the rest of classical physics as classical limits.

This textbook walks you through every step, from the foundational axioms to  $F = ma$ . No prior knowledge of quantum mechanics, relativity, or advanced mathematics is assumed. Every new concept gets an explainer. Every derivation step is laid out as INPUT, WHAT THE MATH DOES, OUTPUT. There are analogies, worked examples.

Here is the full story.

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## The Big Picture

OPH starts with observers on spheres. Each observer sees a patch of a celestial sphere ( $S^2$ ). Where patches overlap, the descriptions must agree (Axiom 2). This consistency requirement is a fixed-point problem whose solution gives us objectivity and gauge symmetry. The boundary structure of patches (collars) reveals a generalized entropy that includes an area term: giving us Newton's constant  $G$ . The conformal symmetry of the sphere is identified with the Lorentz group of special relativity. A "null bridge" connects sphere caps to light rays, producing the stress-energy tensor (the half-line generator/charge identification is established, and bounded-interval transport follows from the same collar structure). Demanding that generalized entropy be stationary (entanglement equilibrium) yields Einstein's field equation  $G_{ab} + \Lambda g_{ab} = 8\pi G \langle T_{ab} \rangle$  in the continuum limit. The cosmological constant  $\Lambda$  is fixed by the total information capacity of the screen. And from Einstein's equation, one recovers Newton's gravity ( $F = -GMm/r^2$ ) and Lagrangian/Hamiltonian mechanics as classical limits.

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The derivation chain:

1. Axioms: Five foundational rules about observer patches
2. Information tools: Entropy, Markov states, recovery maps, collars
3. Consensus protocol: Patch nets, holonomy, gauge quotients, law selection
4. Screen microphysics: Finite gauge registers, Gauss projectors, repair loop,  $Z_2$  pilot
5. Collar structure: Edge-center decomposition, generalized entropy, Newton's constant
6. Basic physics primer: Hamiltonian, Lagrangian, action principle
7. Modular flow: Lorentz kinematics recovered (exact in the continuum limit)
8. Null bridge: Half-line null-stress charge identification and bounded-interval transport
9. Einstein's equation: From entanglement equilibrium
10. Cosmological constant: Fixed by global screen capacity
11. Newton's gravity: Weak-field, slow-motion limit of Einstein's equation
12. Lagrangian and Hamiltonian mechanics: Classical mechanics from the action principle

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## Chapter 1: Introduction: The Big Idea

Every physics textbook you have ever read starts with spacetime and then puts objects into it. OPH flips this on its head. We start with observers, agents who can record measurements, and ask what structure must exist if their overlapping observations are to be consistent. The answer: spacetime, gravity, and all of classical physics.

### Why Start with Observers?

Think about how you experience reality. You do not perceive "spacetime" directly. You see light hitting your eyes, feel forces on your body, and measure numbers on instruments. Each of these is a local observation: a measurement made in a particular

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patch of the world.

At this point, imagine two people standing in slightly different locations, both looking at the same mountain. Their photos will differ (different angles, different foreground), but where their views overlap, the mountain peak itself, they had better agree. If Alice sees a snow-covered peak and Bob, from a slightly different angle but overlapping view, sees a bare rock peak, something has gone very wrong.

OPH takes this mundane observation and elevates it to a foundational principle: overlapping observers must agree on shared data. From this single demand, the entire edifice of physics follows.

## What is $S^2$ ?

$S^2$  (the 2-sphere) is the mathematician's name for the surface of an ordinary sphere, like the surface of a basketball or the night sky around you. It is two-dimensional: you need two numbers (like latitude and longitude) to specify a point. The "2" tells you the surface dimension. When we say an observer's data lives on  $S^2$ , we mean the observer sees information arranged on a sphere surrounding them: their celestial sphere.

## The Road Ahead

The rest of this textbook unpacks the consequences of overlap consistency, step by careful step. By the end, you will see how gravity, spacetime curvature, Newton's  $F = ma$ , and the Lagrangian formulation of mechanics all emerge from information-theoretic consistency conditions on observers' spherical screens.

### What We've Learned (Chapter 1)

- OPH starts from observers. Spacetime geometry is a derived consequence.
- Each observer's data lives on a sphere ( $S^2$ ): their celestial sphere.
- The fundamental demand: overlapping observers must agree on shared data.
- Gravity, spacetime, and classical mechanics follow from this demand.

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## Chapter 2: The Starting Point: The OPH Axioms

We lay down the five axioms that define OPH. These are the "rules of the game." Every derivation in this textbook traces back to these axioms. Think of them as the DNA of the theory: compact, but containing all the information needed to build the full organism of physics.

### The Setup: Observers and Screens

Imagine floating in space. You look around and see the celestial sphere: stars, galaxies, the cosmic microwave background, all arranged on a sphere surrounding you. In OPH, all of an observer's physical data lives on this sphere  $S^2$ . Every measurement you could possibly make.

No single observer sees the whole sphere perfectly. Different observers see different patches: connected regions on the sphere. The fundamental question: what happens where patches overlap?

### Axiom 1: Horizon Screen (The Data Lives on a Sphere)

What it says: For each connected region  $P$  on  $S^2$ , there is a local algebra  $A(P)$ : the collection of all measurements you can make in that region. If region  $P$  is inside a larger region  $Q$ , then anything you can measure in  $P$  you can also measure in  $Q$ . This is called isotony.

Von Neumann algebra. In quantum mechanics, the set of all possible measurements in a region forms a mathematical structure called a von Neumann algebra. Think of it as the "measurement toolkit" for that region. If you can measure temperature and pressure in a room, those measurements (and all their combinations, like "temperature times pressure" or "is the temperature above 20?") form the von Neumann algebra for that room. It is just a precise way of saying "everything you could possibly measure here."

Analogy: Think of Google Maps. Each map tile covers a region. The data in that tile (roads, buildings, elevation) is the "algebra" for that region. If tile  $P$  is entirely inside

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tile Q, everything visible in P is also visible in Q. That is isotony.

## Axiom 2: Overlap Consistency (Patches Must Agree)

What it says: When two patches  $P_1$  and  $P_2$  overlap, the measurement results must agree on the shared region  $P_1 \cap P_2$ :

$$\omega_{P_1}|_{A(P_1 \cap P_2)} = \omega_{P_2}|_{A(P_1 \cap P_2)}$$

The vertical bar "|" means "restricted to": we only look at measurements in the overlap.

This is the engine of the entire theory. Everything we derive (gravity, spacetime, Newton's laws) flows from this one demand.

Toy Example: Observer Alice can measure properties  $\{a, b, c\}$  in her patch. Observer Bob can measure  $\{b, c, d\}$  in his. Their overlap is  $\{b, c\}$ . Axiom 2 says Alice and Bob must get identical results for measurements b and c.

## Axiom 3: Local MaxEnt and Refinement Stability

What it says: At the UV scale, the realized state maximizes entropy subject to a finite family of gauge-invariant local constraints, and the resulting family of states is stable under coarse-graining so symmetry-allowed relevant operators are not held at zero by unexplained fine tuning.

Maximum entropy (MaxEnt). Why maximum entropy? Because if an observer has limited information (only their local constraints), the least biased state consistent with that information is the one that maximizes entropy. Any other choice would smuggle in information the observer does not have. MaxEnt is the mathematical version of "don't assume more than you know."

Analogy: You know the average grade in a class is 75%. What is the best guess for the full distribution of grades? The one that is as "spread out" as possible while matching the average: that is the MaxEnt distribution. Any other distribution would assume patterns you have no evidence for.

The precise formula. The "entropy" being maximized is the von Neumann entropy of the quantum state  $\rho$ :

$$S(\rho) = -\text{Tr}(\rho \log \rho)$$

(We use the natural logarithm, so entropy is measured in nats, matching the Bekenstein-Hawking area law and standard QFT conventions.) The "local constraints" are expectation values of a finite set of gauge-invariant local operators  $O_a$ :

$$\text{Tr}(\rho O_a) = c_a$$

Maximizing  $S(\rho)$  subject to these linear constraints has a unique solution (von Neumann entropy is strictly concave), and that solution is always a local Gibbs state:

$$\rho \propto \exp(-\sum_a \lambda_a O_a)$$

The coefficients  $\lambda_a$  are Lagrange multipliers acting as local inverse temperatures that enforce the constraints. This same von Neumann entropy is the quantity that, when evaluated on a cap, splits into  $S_{\text{bulk}}$  and  $\langle L_C \rangle$  in Axiom 4, and whose stationarity in Chapter 10 forces Einstein's equation. One entropy, used everywhere.

## Axiom 4: Recoverable Generalized Entropy

What it says: A generalized entropy functional exists on caps:

$$S_{\text{gen}}(C) = S_{\text{bulk}}(C) + \langle L_C \rangle$$

$S_{\text{gen}}$  is finite and obeys quantum focusing on lightsheets. It comes with the recoverability structure used throughout: collar tripartitions have small conditional mutual information  $I(A:D|B)$  with controlled recovery maps.

Conditional mutual information.  $I(A:C|B)$  measures how much information A and C share beyond what B tells you. If  $I(A:C|B) = 0$ , then B is a perfect "screen" between A and C: knowing B tells you everything about the A-C relationship.

Analogy: Imagine three adjacent rooms A (left), B (middle), C (right). Sound travels through walls. If the middle room B is a perfect sound barrier, then knowing what happens in B tells you everything you need to know about how A and C are connected. Axiom 4 says thin collars on the sphere act as nearly perfect information barriers.

## Axiom 5: Minimal Admissible Realization (MAR)

What it says: On the admissible low-energy branch, the realized sector package is the lexicographically minimal one under the complexity vector  $C(S)$ . MAR is an explicit structural-economy axiom: it says nature picks the simplest consistent solution.

Occam's Razor, made precise. MAR is the mathematical version of "the simplest explanation is usually the best." But unlike Occam's Razor, MAR is precise: it defines "simplest" via a lexicographic ordering on complexity vectors, so there is a unique winner.

Analogy: Imagine you have a lock and you know some properties the key must have (consistent with your constraints). Many keys could work, but MAR says nature always picks the simplest one: the one with the fewest teeth, shortest length, most symmetric profile. This is what selects the exact Standard Model gauge group from among all possibilities.

## Two External Inputs

OPH derives one dimensionless constant from internal closure and takes one numerical input from observation:

1. Pixel area (derived):  $P \equiv a_{\text{cell}} / \ell_P^2 = 1.630968209403959$ , the unique self-consistent fixed point of  $P = \varphi + \alpha_{\text{em}}(P)\sqrt{\pi}$ .

Planck length. The Planck length  $\ell_p \approx 1.6 \times 10^{-35}$  meters is the smallest meaningful length scale in physics. It is built from three fundamental constants:  $\ell_p = \sqrt{(\hbar G / c^3)}$ . At this scale, quantum gravity effects dominate. The pixel area  $P$  tells us the size of one "pixel" on the observer's screen, measured in units of  $\ell_p^2$ .

2. Screen capacity (input):  $N_{\text{scr}} \equiv \log \dim H_{\text{tot}} \sim \text{eq } 3.31 \times 10^{122}$ , the log of the total Hilbert-space dimension on the cosmological horizon, equivalently the de Sitter horizon entropy  $S_{\text{dS}}$  in nats. It equals  $\pi N_{\text{patch}}$ , where  $N_{\text{patch}} \equiv (r_{\text{dS}}/\ell_p)^2 \sim \text{eq } 1.05 \times 10^{122}$  is the bare Planck-tile count on the horizon. Inferred from the observed cosmological constant via  $\Lambda \ell_p^2 = 3\pi/N_{\text{scr}} \sim \text{eq } 2.85 \times 10^{-122}$ . See Chapter 11 for the full derivation.

Numerical example: Each pixel has area  $P \cdot \ell_p^2 \approx 1.63 \times (1.6 \times 10^{-35})^2 \approx 4.2 \times 10^{-70}$  m<sup>2</sup>. The total screen has  $\sim 10^{122}$  pixels, so the total area is  $\sim 4.2 \times 10^{52}$  m<sup>2</sup>, corresponding to a sphere of radius  $\sim 10^{26}$  m: roughly the radius of the observable universe. Not a coincidence!

## Why P Sits Near the Golden Ratio

The value  $P = 1.630968209403959$  is derived, not fitted. It is the unique self-consistent fixed point of the outer/inner closure law  $P = \phi + \alpha_{\text{em}}(P)\sqrt{\pi}$  on the electroweak surface (see the Closure page for the full six-step construction). Its proximity to the golden ratio  $\phi = (1+\sqrt{5})/2 \approx 1.61803$  is structural, and it has a clean explanation.

The balance variable. Write the generalized entropy of a cap as bulk plus edge:

$$S_{\text{gen}}(C) = S_{\text{bulk}}(C) + \langle L_C \rangle.$$

Define the dimensionless total-to-bulk ratio:

$$x(C) := S_{\text{gen}}(C)/S_{\text{bulk}}(C) = 1 + (\langle L_C \rangle)/(S_{\text{bulk}}(C)).$$

This is the natural variable for the total/bulk/edge hierarchy.

Exact self-similar balance. Self-similar balance asks that the total-to-bulk ratio matches the bulk-to-edge ratio:

$$S_{\text{gen}}(C)S_{\text{bulk}}(C) = S_{\text{bulk}}(C)\langle L_C \rangle.$$

Substituting  $\langle L_C \rangle / S_{\text{bulk}} = x - 1$  gives the fixed-point equation  $x = 1/(x-1)$ , which rearranges to

$$x^2 - x - 1 = 0.$$

The unique positive solution is

$$x = \phi = 1 + \sqrt{5} / 2 \approx 1.61803398875.$$

So the golden ratio is the exact self-similar equilibrium of the total/bulk/edge hierarchy.

Why the realized value cannot sit at  $\phi$ . At exact balance, the hierarchy carries no directed imbalance. A universe sitting on that point is too symmetric to support observers. Durable records require entropy gradients. Structure requires departures from exact balance. Dynamics requires a branch on which something can happen. The realized  $P$  has to sit slightly off the equilibrium.

The detuning. The OPH pixel input is read as a small equilibrium-breaking detuning away from the golden-ratio point. With the natural order parameter  $A_\phi(x) := x - 1 - 1/x = (x^2 - x - 1)/x$ , exact balance is  $A_\phi(\phi) = 0$ , and the realized values are:

Quantity	Value
Golden ratio $\phi$	1.61803398875
Derived pixel area $P$	1.630968209403959
Absolute detuning $\Delta P = P - \phi$	0.01293422
Relative detuning $(P - \phi)/\phi$	0.7994%
Order parameter $A_\phi(P)$	0.01783547

The pixel area sits within one percent of the golden ratio. That proximity is the structural reason why the derived number lives where it does: the closure law forces  $P$  to sit a small inner-coupling step  $\alpha_{\text{em}}(P)\sqrt{\pi}$  above the equilibrium  $\phi$ .

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### What We've Learned (Chapter 2)

- Physical data lives on a sphere ( $S^2$ ) around each observer.
- Each patch gets a von Neumann algebra of measurements (Axiom 1: Screen Net).
- Overlapping patches must agree (Axiom 2: Overlap Consistency): this is the engine of the whole theory.
- The realized state maximizes entropy subject to local constraints, stably under coarse-graining (Axiom 3: Local MaxEnt).
- A generalized entropy exists for caps, with recoverability on collar tripartitions (Axiom 4).
- Nature picks the simplest consistent solution (Axiom 5: MAR).
- Two numbers from observation set the pixel scale and total screen size.

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## Chapter 3: The Mathematics of Information

Before we can derive gravity, we need tools from quantum information theory. These tools tell us how information is shared between parts of a system, and when one part can "reconstruct" another. Think of this chapter as stocking your mathematical toolbox. The house of gravity gets built with these tools.

### Strong Subadditivity (SSA)

INPUT: A quantum system divided into three parts A, B, C, with a joint state  $\rho_{ABC}$ .

WHAT THE MATH DOES: Compute the conditional mutual information:

$$I(A:C|B) := S(AB) + S(BC) - S(B) - S(ABC) \geq 0$$

Strong subadditivity. This inequality, proved by Lieb and Ruskai in 1973, is a foundational inequality in quantum information. It says: the amount of correlation between A and C that is not explained by B is never negative. You cannot create correlations out of nothing by introducing a middleman. It is true for every quantum state, with no exceptions.

Conditional mutual information.  $I(A:C|B)$  measures how much information A and C share beyond what B tells you. If  $I(A:C|B) = 0$ , then B is a perfect "screen" between A and C: knowing B tells you everything about the A-C relationship. If  $I(A:C|B)$  is large, then A and C share secrets that B does not know about.

OUTPUT: A non-negative number  $I(A:C|B) \geq 0$ . This is a theorem (Lieb-Ruskai, 1973).

Toy Example: Three Rooms: Three adjacent rooms A (left), B (middle), C (right). Sound travels through walls. SSA says: the correlation between rooms A and C cannot increase by knowing what happens in room B. The middle room can explain correlations but never create new ones.

Numerical Example: Suppose  $S(AB) = 5$ ,  $S(BC) = 4$ ,  $S(B) = 3$ ,  $S(ABC) = 5$ . Then  $I(A:C|B) = 5 + 4 - 3 - 5 = 1$  bit. One bit of shared information between A and C that B alone does not account for.

## Markov States

INPUT: A three-part state  $\rho_{ABC}$  with  $I(A:C|B) = 0$  exactly.

WHAT THE MATH DOES: When conditional mutual information vanishes, the state is a quantum Markov state. The state can be perfectly recovered:

$$\rho_{ABC} = (\text{id}_A \otimes R_{B \rightarrow BC})(\rho_{AB})$$

where  $R_{B \rightarrow BC}$  is a recovery map: a quantum operation that reconstructs C from B alone.

Markov chain. A Markov chain is a process where the future depends only on the present, not the past. If you know Bob's state, you can predict Charlie's state without needing to know Alice's. In the quantum version, a Markov state satisfies this perfectly: B completely screens A from C. The name comes from the Russian mathematician Andrey Markov (1856-1922).

OUTPUT: Perfect recoverability. Knowing A and B is enough to reconstruct C.

Analogy: A Chain of Messengers: Alice tells Bob a message, Bob tells Charlie. If Bob is a perfect relay (passes everything along), then knowing what Bob received is sufficient to reconstruct what Charlie heard. That is the Markov property.

## Approximate Recovery (Fawzi-Renner)

INPUT: A state with small but nonzero  $I(A:C|B) \leq \epsilon$ .

WHAT THE MATH DOES: The Fawzi-Renner theorem (2015) guarantees a recovery map with bounded error:

$$\|\rho_{ABC} - \text{recovered state}\|_1 \leq 2\sqrt{(\ln 2 \cdot \epsilon)}$$

OUTPUT: An approximate recovery map. The smaller  $\epsilon$ , the better the recovery.

Numerical Example: If  $I(A:C|B) = 0.001$ , then error  $\leq 2\sqrt{(\ln 2 \times 0.001)} \approx 2 \times 0.0263 \approx 0.053$ . The recovered state differs from the true state by at most about 5%. Pretty good!

## Collar Tripartitions

INPUT: A cap C on the sphere with a boundary circle.

WHAT THE MATH DOES: Split the sphere into three regions:

- $A_\delta$ : the deep interior of the cap (well inside the boundary)
- $B_\delta$ : a thin collar (ring) of width  $\delta$  around the boundary
- $D_\delta$ : the deep exterior (well outside the boundary)

OUTPUT: The collar hypothesis states that  $I(A:D|B) \rightarrow 0$  as we refine. The thin collar B acts as an almost-perfect screen between inside and outside.

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Why does this matter for gravity? If the collar screens well ( $I(A:D|B) \approx 0$ ), then by the Markov/Fawzi-Renner results above, the state nearly factors across the collar. This factorization is what allows us to decompose the total entropy into a "bulk" piece (from the cap interior) and an "edge" piece (from the collar itself). The edge piece will turn out to be proportional to the boundary area: giving us the Bekenstein-Hawking entropy formula and Newton's constant (Chapter 6). Without good screening, there would be no clean entropy split, and the entire gravity derivation would stall.

Analogy: A Castle Wall: The cap interior  $A$  is the castle. The exterior  $D$  is the countryside. The collar  $B$  is the castle wall. A good wall screens inside from outside: knowing what happens at the wall tells you almost everything about the connection between castle and countryside.

#### What We've Learned (Chapter 3)

- Strong subadditivity (SSA) says  $I(A:C|B) \geq 0$ : the middle man cannot create correlations.
- When  $I(A:C|B) = 0$ , the state is Markov and perfectly recoverable.
- When  $I(A:C|B)$  is small, Fawzi-Renner gives bounded approximate recovery.
- Collar tripartitions split the sphere into cap/collar/exterior, with the collar screening inside from outside.
- These are the key information-theoretic tools powering the rest of the derivation. SSA, Markov states, approximate recovery, and collar tripartitions feed directly into the consensus protocol (Chapter 4) and the collar decomposition (Chapter 6). The collar tripartition gives us generalized entropy, and Fawzi-Renner recovery controls the errors when collars are thin.  $I(A:C|B)$  reappears throughout.

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## Chapter 4: Reality as a Consensus Protocol

If "objectivity" means different observers end up agreeing, then reality itself is a consensus protocol, a procedure by which many independent agents converge on a single shared answer. This chapter formalizes that idea, introduces the crucial cycle-holonomy obstruction (local agreement does NOT guarantee global

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consistency!), explains gauge symmetry as implementation hiding, shows how observer records converge on a central record algebra, and describes how the laws of physics themselves are selected by fitness.

## Patch Nets: The Network of Observers

INPUT: A finite connected graph  $G = (V, E)$ . Each vertex  $i$  is an observer patch, each edge  $e = \{i, j\}$  is a shared interface.

WHAT THE MATH DOES: Each observer  $i$  holds a local state  $s_i \in S_i$ . For each shared edge, projection maps  $\pi_{i,e}$  and  $\pi_{j,e}$  extract the "overlap data." A global state  $s$  is consistent when:

$$\pi_{i,e}(s_i) = \pi_{j,e}(s_j) \text{ for all edges } e = \{i,j\}$$

OUTPUT: The consistency set  $C$ : all configurations where every overlap matches.

Analogy: Jigsaw Puzzle: Each observer is a jigsaw piece. The edges are where pieces meet. Consistency means the picture matches along every boundary.  $C$  is the set of all valid completions.

## The Inconsistency Potential

INPUT: A global state  $s$  (possibly inconsistent) and distance functions  $d_e$  on each interface.

WHAT THE MATH DOES:

$$\Phi(s) = \sum_{\text{edges } e} w_e \cdot d_e(\pi_{i,e}(s_i), \pi_{j,e}(s_j))$$

This sums up the weighted disagreement at every overlap.

OUTPUT: A non-negative number  $\Phi(s) \geq 0$ , with  $\Phi(s) = 0$  if and only if  $s$  is consistent. Think of  $\Phi$  as the "total frustration energy" of the system.

Toy Example: Three observers: Alice, Bob, Charlie. Alice says the A-B overlap temperature is 20 C, Bob says 22 C. Bob says B-C is 25 C, Charlie agrees at 25 C. Charlie says C-A is 19 C, Alice says 20 C. Then  $\Phi = |20-22| + |25-25| + |19-20| = 2 + 0 + 1 = 3$ . Not consistent!

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## Local Repair Laws

INPUT: An inconsistent state  $s$  with  $\Phi(s) > 0$ , and a vertex  $i$  to repair.

WHAT THE MATH DOES: A local repair map  $T_i$  adjusts observer  $i$ 's state to reduce disagreement with its neighbors, without touching distant patches. The repair map is read directly from OPH recovery dynamics. Each  $T_i$  is a fixed-cutoff collar update derived from either exact Markov splice or a declared Petz/Fawzi-Renner recovery channel. The repair map is committed only when the touched-overlap local-fit acceptance contract is satisfied: the update must actually improve agreement on the overlaps it touches.

OUTPUT: A new state  $T_i(s)$  with lower inconsistency:  $\Phi(T_i(s)) < \Phi(s)$ .

Analogy: Multiplayer Game Sync: In an online game, each player's computer maintains its own copy of the game world. When two players' local worlds disagree, the server sends a sync update that fixes one player's copy. Each sync touches only one player's data: that is a local repair map. The acceptance contract is like the server checking that the sync actually reduced the mismatch before committing it.

## The Inconsistency Potential as a Lyapunov Functional

Lyapunov functional. A Lyapunov functional is a quantity that strictly decreases at every step of a process and is bounded below. It acts like a "hill counter": if you know you are always going downhill and there is a valley floor, you must eventually stop. The name comes from the Russian mathematician Aleksandr Lyapunov, who used this idea to prove stability of dynamical systems. In everyday terms: if every repair step reduces  $\Phi$  and  $\Phi$  cannot go negative, the repairs must eventually run out of things to fix.

The inconsistency potential  $\Phi$  is the Lyapunov functional for the OPH consensus protocol. It drives the entire convergence story. Each accepted repair move strictly decreases  $\Phi$ , and since  $\Phi$  is non-negative and the patch net is finite, the process must terminate in finitely many steps. The Lyapunov argument guarantees termination.

## The Fixed-Point Theorem: Objectivity from Confluence

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This is the central result. It tells us when the repair process converges to a unique answer regardless of the order repairs happen in.

**Fixed point.** A fixed point of a process is a state that the process does not change. If you apply the repair map and get back the same state, you are at a fixed point. Think of a ball at the bottom of a valley: gravity does not move it further. The consistent states  $C$  are exactly the fixed points of the repair process.

**Confluence.** A system is confluent if, no matter what choices you make along the way, you always end up at the same result. Think of water flowing down a mountain: different streams take different paths, but they all reach the same lake. If the repair process is confluent, then no matter which observer you fix first, you always arrive at the same consistent state.

**Normal form.** The normal form of a state  $s$  is the unique consistent state  $nf(s)$  that the repair process converges to, starting from  $s$ . It is the "simplified" or "canonical" version of  $s$  after all inconsistencies have been repaired.

**Rewrite system.** A rewrite system is a set of rules for transforming states. Each rule replaces one pattern with another (like "if you see  $X$ , replace it with  $Y$ "). The repair maps form a rewrite system: each map rewrites one observer's state to reduce disagreement. Newman's lemma (1942) says that if a terminating rewrite system is locally confluent, it is globally confluent.

## Deriving Local Confluence from Union-Collar Gluing

Union-collar gluing. When two repairs act on overlapping regions, we need to know that the result does not depend on which repair goes first. This is the local confluence (or diamond) property. In OPH, it is derived from the structure of the collar itself. The collar has an overlap-associative gluing property: when two collar updates touch overlapping patches, the result of applying them in either order lands in the same quotient class. On the ordinary/central branch, reparenthesization stays inside one center-sector quotient class; on the genuinely noncentral branch, it stays inside one crossed-module orbit. Either way, the physical union-collar glued state is order-independent.

The theorem says: Since (1) the fixed points of repair are exactly  $C$ , (2) repair terminates (by Lyapunov descent of  $\Phi$ ), and (3) repair is locally confluent (by overlap-associative union-collar gluing), Newman's lemma gives us: every state has a unique normal form  $nf(s) \in C$ , independent of the repair order.

INPUT: Any inconsistent state  $s$ .

WHAT THE MATH DOES: Applies repairs in any order until the state is consistent. The Lyapunov functional  $\Phi$  guarantees termination; union-collar gluing guarantees the same endpoint.

OUTPUT: The unique consistent state  $nf(s)$ . This IS objectivity. The final physical state does not depend on who does the fixing or in what order.

## Cycle Holonomy: Why Local Agreement Is Not Enough

But there is a twist. You might think: "If every pair of neighbors agrees, the whole system is consistent." Wrong. Local (pairwise) agreement does NOT guarantee global consistency. The obstruction is called holonomy.

Holonomy. Holonomy measures the cumulative effect of going around a closed loop. Walk on Earth's surface: start at the North Pole, walk to the equator along one meridian, walk a quarter of the way around, then walk back to the pole along another meridian. When you return, you face a different direction: rotated by 90 degrees! That rotation is the holonomy of the loop. In our context, holonomy measures the total "mismatch" accumulated by traversing a cycle of overlapping patches.

Cycle obstruction. A cycle obstruction occurs when local consistency around each edge of a loop is satisfied, but the total "twist" around the loop is nonzero. Think of Escher's impossible staircase: each step goes up, but somehow you end up back where you started. The individual steps are locally consistent, but the global structure is impossible.

The  $Z_2$  Triangle Example:

Three observers A, B, C on a triangle, with overlap data in  $Z_2 = \{0, 1\}$  (like a single bit: even/odd). Transition labels:

- Edge A-B:  $b_{AB} = 0$  (agree)
- Edge B-C:  $b_{BC} = 0$  (agree)
- Edge C-A:  $b_{CA} = 1$  (disagree by 1)

Each edge individually has solutions. But try a global assignment:

- $x_B = x_A$  (from  $b_{AB} = 0$ )
- $x_C = x_B = x_A$  (from  $b_{BC} = 0$ )
- $x_A = x_C + 1$  (from  $b_{CA} = 1$ )

But  $x_A = x_C$  and  $x_A = x_C + 1$  cannot both hold. The cycle sum is  $0 + 0 + 1 = 1 \neq 0 \pmod{2}$ . The nonzero cycle sum is the holonomy: it blocks any global solution.

When holonomy is nonzero, the mismatch cannot be repaired away. These irreducible frustrations correspond to stable topological defects: physical features protected by topology.

## Higher-Gauge Defects

Higher gauge. When the symmetry group is nonabelian (the order of operations matters, like 3D rotations), the obstruction story becomes richer. The defect classification is described by a crossed-module  $H \partial G$ , and the classification lives in nonabelian Čech cohomology:  $q = [(g, h)] \in H^2(N, H \rightarrow G)$ . Strict reconciliation exists if and only if  $q = 0$ . A nonzero  $q$  labels a stable higher-gauge defect that no local repair can remove.

Analogy: Try assigning consistent arrow directions to the faces of a Möbius strip by looking at overlapping rectangles. Each rectangle works fine. Overlapping pairs can

agree. But globally, the Mobius twist prevents consistency. The class  $q$  detects this.

## Gauge Symmetry = Implementation Hiding

**Gauge symmetry.** A gauge symmetry is a redundancy in description that does not affect the physics. Describing an apple's color as "red" (English) or "rojo" (Spanish) uses different labels for the same fact. The gauge group  $\Gamma$  is the set of all such relabelings. Physics lives in the quotient  $C/\Gamma$ : equivalence classes where we identify states that differ only by relabeling.

**Gauge group.** The gauge group  $\Gamma = \prod_i \Gamma_i$  is a product of local groups, one per observer. Each  $\Gamma_i$  is the set of "relabeling transformations" available to observer  $i$ . A gauge transformation  $\gamma \in \Gamma$  changes each observer's internal representation without changing any overlap data.

**Gauge invariance.** A quantity is gauge-invariant if it does not change under any gauge transformation. These are the physically meaningful quantities. In electromagnetism, the electric and magnetic fields are gauge-invariant; the electromagnetic potential is not.

**INPUT:** Consistent states  $C$  plus gauge group  $\Gamma$ .

**WHAT THE MATH DOES:** Identifies states that differ by relabeling:  $nf: C/\Gamma \rightarrow C/\Gamma$ .

**OUTPUT:** Gauge-invariant observables are uniquely fixed on the quotient.

**Analogy: Programming Languages:** Three teams implement the same algorithm: Python, Java, Rust. The overlap data is input-output behavior. The gauge freedom is the choice of language. If all three produce the same outputs, they are gauge-equivalent. The physics is the input-output map, not the source code.

Mathematical concept	Physical meaning
Unique normal form	Objectivity: physics is the same for all observers
Gauge quotient $C/\Gamma$	Gauge symmetry: relabeling freedom

Nonzero holonomy	Topological defects: features that cannot be repaired away
Crossed-module class $q$	Higher-gauge defects: richer nonabelian obstructions

## Records on the Observer-Accessible Algebra

Observers maintain records, stable, repeatedly readable data like measurement outcomes. These records live on a concrete algebraic structure: the central (commutative) subalgebra  $Z(A_O)$  of the observer-accessible algebra  $A_O$ .

INPUT: A finite observer-accessible algebra  $A_O$  with central subalgebra  $Z(A_O)$ , and Born/Lüders conditioning on that algebra.

WHAT THE MATH DOES: At exact finite cutoff, the record observables are central elements of  $A_O$ : they commute exactly with all other observables in the algebra. Born/Lüders conditioning reads and updates records directly on this central subalgebra. In practice, projector readouts are approximately central ( $\|[R, A]\| \leq \epsilon$  for  $A \in A_O$ ), and explicit  $(\epsilon, \delta_{rec})$  stability bounds control the error: if the commutator norm is at most  $\epsilon$ , the record's survival probability stays within  $\delta_{rec}$  of unity.

OUTPUT: Records converge to a unique, schedule-independent outcome on the central record algebra, with quantitative control over approximate readout errors.

Analogy: Wikipedia Editors: Multiple editors fill in sections independently. Each edit only adds information (never deletes). No matter which editor goes first, the final article is the same.

## Law Selection via Replicator Dynamics

OPH asks: among all possible reconciliation laws, which ones win?

INPUT: Candidate laws  $\Lambda = \{\lambda_1, \dots, \lambda_m\}$ , each specifying repair maps.

WHAT THE MATH DOES: Define fitness:

$$f(\lambda) = \alpha \cdot R(\lambda) + \beta \cdot O(\lambda) - \gamma \cdot K(\lambda)$$

---

where  $R =$  robustness,  $O =$  observer yield,  $K =$  complexity. Under replicator dynamics  $\dot{x}_i = x_i(f_i - f)$ , mean fitness is non-decreasing:  $(d)/(dt)f = \text{Var}_x(f) \geq 0$ .

OUTPUT: Laws that are robust, observer-rich, and simple win. The laws of physics are the fittest survivors.

#### What We've Learned (Chapter 4)

- Reality is a consensus protocol: many observers converge on a shared description.
- Local repair maps are derived from OPH recovery dynamics (Petz/Fawzi-Renner recovery), not posited as abstract primitives.
- The inconsistency potential  $\Phi$  is a Lyapunov functional: each repair strictly lowers it, guaranteeing termination.
- Local confluence follows from overlap-associative union-collar gluing, not from a separate assumption.
- Together, Lyapunov descent + union-collar gluing + Newman's lemma guarantee a unique, schedule-independent outcome (objectivity).
- Local pairwise agreement does NOT guarantee global consistency: cycle holonomy is the obstruction.
- Gauge symmetry = implementation hiding: relabeling freedom that preserves overlap data.
- Records live on the central subalgebra of the observer-accessible algebra, with exact Born/Lüders conditioning at finite cutoff and explicit  $(\epsilon, \delta_{\text{rec}})$  stability bounds for approximate readouts.
- Replicator dynamics selects robust, observer-supporting, simple reconciliation laws.

Bridge to gravity (Chapter 10). Hold this picture: the contraction repairs everything it can. What it cannot repair (cycle-holonomy obstructions) hardens into stable topological defects, which are the particles. Those defects carry energy and entanglement that the local repair dynamics is powerless to erase. In Chapter 10 we will see what the rest of the system does in response: to keep the generalized entropy stationary in the presence of un-repairable matter, the boundary geometry of every cap must adjust. That forced adjustment, propagated across all overlapping observers, is what we experience as gravity.

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## Chapter 5: Screen Microphysics: What the Screen Actually Looks Like

So far we have talked about observer patches and repair dynamics abstractly. But what does the screen physically look like at the smallest scale? This chapter gives a concrete reference architecture: a specific, buildable model of the screen's microscopic structure. Think of it as the engineering blueprint.

### Finite Gauge Registers on a Cellulated Sphere

INPUT: The screen  $S^2$  divided into a finite mesh (cellulation)  $\Gamma = (V, E, F)$ : vertices  $V$ , edges (links)  $E$ , faces  $F$ . Think of drawing a mesh on a basketball.

WHAT THE MATH DOES: Each link  $e$  carries a small quantum register:

$$H_e \cong \mathbb{C}^{d_G}$$

where  $G$  is a finite group and  $d_G = |G|$  is the number of group elements. At selected vertices, attach record registers  $H_v^{\text{rec}} \cong (\mathbb{C}^2)^{\otimes m_v}$ .

**Hilbert space.** A Hilbert space is the mathematical "arena" where quantum states live. Think of it as a (possibly infinite-dimensional) space of vectors where you can measure lengths and angles. For a single qubit, the Hilbert space is  $\mathbb{C}^2$ : two-dimensional, like a coin that can be in any quantum superposition of heads and tails. For a system of  $n$  qubits, the Hilbert space has  $2^n$  dimensions.

**Tensor product.** The tensor product  $\otimes$  combines two quantum systems into one joint system. If system  $A$  has  $m$  states and system  $B$  has  $n$  states, the combined system  $A \otimes B$  has  $m \times n$  states. When you put two coins next to each other, the combined "state space" has four outcomes (HH, HT, TH, TT): that is the tensor product of two two-state systems. The total screen Hilbert space  $H_\Gamma = \otimes_e H_e \otimes \otimes_v H_v^{\text{rec}}$  is a big tensor product of all the link and record registers.

Direct sum. While the tensor product combines systems (AND), the direct sum  $\oplus$  gives alternatives (OR). If a system can be in sector  $\alpha$  OR sector  $\beta$  (but not both at once), the total space is  $H_\alpha \oplus H_\beta$ . Think of a building with floors: the total space is floor 1 plus floor 2 plus floor 3. You live on exactly one floor (or in a quantum superposition).

OUTPUT: A concrete, finite-dimensional quantum system on the screen mesh.

## Gauss Projectors: Enforcing Local Gauge Invariance

INPUT: At each vertex  $v$ , the gauge group  $G$  acts on incident links.

WHAT THE MATH DOES: The Gauss projector:

$$P_v = (1)/(|G|) \sum_{g \in G} U_v(g)$$

projects onto the gauge-invariant subspace. The physical Hilbert space is:

$$H_{\text{phys}} = (\prod_{v \in V} P_v) H_\Gamma$$

OUTPUT: Only gauge-invariant states are physical. The Gauss projectors enforce local symmetry at every vertex.

Toy Example ( $Z_2$ ): Each link carries one qubit. The Gauss projector at  $v$  uses the star operator  $A_v = \prod_{e \in \Gamma_v} X_e$ , giving  $P_v = (1 + A_v)/2$ . Gauge-invariant states satisfy  $A_v = +1$  at every vertex.

## Patches from Registers and the Observer Criterion

A patch is a connected collection of faces on the mesh, together with incident vertices and links. The patch algebra  $A(P)$  is the set of all gauge-invariant observables measurable using only registers in patch  $P$ .

An observer in OPH is an operational pattern satisfying:

Criterion	Meaning
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Patch access	Supported on a connected patch with nontrivial overlap algebra
Record support	Carries metastable record observables
Update capability	Can condition future actions on records
Comparison capability	Can query shared overlap observables and detect mismatches
Persistence	Remains identifiable for many update cycles

Example: A thermostat qualifies! It has patch access (temperature sensor), record support (stores current reading), update capability (controls heater), comparison capability (compares reading to setpoint), and persistence (runs for years).

## Mismatch Syndromes

When two neighboring patches P and Q share an overlap B, the mismatch syndrome  $S_{PQ}$  is a structured comparison of their overlap data:

$$S_{PQ} = (\chi_G, \chi_\alpha, \{\Delta_a\}, \{\Delta_r\}, \{\sigma_r^{\text{stale}}\})$$

Components: gauge violation flag  $\chi_G$ , sector mismatch flag  $\chi_\alpha$ , boundary observable differences  $\Delta_a$ , record summary differences  $\Delta_r$ , staleness flags  $\sigma_r^{\text{stale}}$ .

Analogy: Medical Diagnostic Panel: Like a blood test that checks cholesterol, blood sugar, liver enzymes separately, the syndrome gives a detailed breakdown of which aspects of the overlap are mismatched and by how much.

## The Repair Loop: A Six-Step Cycle

The reference architecture specifies a concrete repair loop:

$$L_{\text{bulk}} \rightarrow L_{\text{check}} \rightarrow L_{\text{write}} \rightarrow L_{\text{compare}} \rightarrow L_{\text{repair}} \rightarrow L_{\text{verify}}$$

Layer	What it does
$L_{\text{bulk}}$	Randomly applies face moves, modeling local dynamics

$L_{\text{check}}$	Verifies all Gauss projectors are satisfied
$L_{\text{write}}$	Updates record qubits with latest measurement summaries
$L_{\text{compare}}$	Picks an overlap, reads both sides, computes mismatch syndrome
$L_{\text{repair}}$	If mismatched, applies best local correction
$L_{\text{verify}}$	Re-reads the overlap to confirm improvement

## The $Z_2$ Pilot: A Concrete Minimal Example

The simplest realization uses the octahedral cellulation of  $S^2$ :

- 6 vertices:  $X^+, X^-, Y^+, Y^-, Z^+, Z^-$
- 12 edges: one qubit each ( $G = Z_2$ , so  $d_G = 2$ )
- 8 triangular faces
- 3 patches:  $P_X, P_Y, P_Z$ , each containing 4 faces
- 3 pairwise overlaps
- 9 record qubits: 3 per patch

Total: 12 link qubits + 9 record qubits = 21 qubits. Small enough to simulate on a laptop!

Key operators: Star operator  $A_v = \prod_{e \in v} X_e$  (gauge invariance), Plaquette operator  $B_f = \prod_{e \in \partial f} Z_e$  (measures "magnetic flux").

## Heat-Kernel Edge Sectors

Each overlap collar carries a cut register whose sector projectors decompose the boundary into irreducible representations. In thermal equilibrium:

$$p_R(t) \propto d_R e^{-t C_2(R)}$$

where  $d_R$  is the dimension and  $C_2(R)$  is the quadratic Casimir eigenvalue of representation  $R$ .

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Toy Example ( $Z_2$ ): Two representations: trivial ( $R=0$ ,  $d_0=1$ ,  $C_2=0$ ) and sign ( $R=1$ ,  $d_1=1$ ). At  $t=0$ : equal weight. As  $t \rightarrow \infty$ : trivial sector dominates.

#### What We've Learned (Chapter 5)

- The screen at the smallest scale is a mesh of finite gauge registers.
- Gauss projectors enforce local gauge invariance; only gauge-invariant states are physical.
- Patches and overlaps arise from local registers; observers are persistent operational patterns.
- The repair loop runs six layers: bulk, check, write, compare, repair, verify.
- The  $Z_2$  octahedral pilot (21 qubits) is the minimal concrete realization.
- Edge sector weights follow the heat-kernel form.

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## Chapter 6: Collars, Edge Centers, and Generalized Entropy

This chapter examines what happens at the boundary of a cap, in the thin collar region. The collar reveals a decomposition into "edge sectors," from which we derive a generalized entropy formula. Newton's gravitational constant  $G$  emerges naturally. This is where gravity first peeks through.

### Edge-Center Decomposition

INPUT: A cap  $C$  on the sphere with a collar region  $B$  at its boundary.

WHAT THE MATH DOES: The collar's Hilbert space decomposes as:

$$H_{\text{collar}} \cong \bigoplus_{\alpha} (H_{b_L^{\wedge} \alpha} \otimes H_{b_R^{\wedge} \alpha})$$

OUTPUT: The collar decomposes into sectors  $\alpha$ , each with a "left part"  $b_L^{\wedge} \alpha$  (facing cap interior) and "right part"  $b_R^{\wedge} \alpha$  (facing exterior). Different sectors carry

independent information.

Analogy: Telephone Switchboard: The collar is a switchboard connecting inside to outside. Each channel  $\alpha$  is a separate phone line. Different lines carry independent conversations.

## Exact Markov Normal Form

INPUT: The collar tripartition A-B-D with  $I(A:D|B) = 0$ .

WHAT THE MATH DOES: The global state takes the block-diagonal form:

$$\rho_{ABD} = \oplus_{\alpha} p_{\alpha} (\rho_{Ab_L}^{(\alpha)} \otimes \rho_{b_R D}^{(\alpha)})$$

OUTPUT: The state factors across the collar, sector by sector. Once you know which sector  $\alpha$  is active, inside and outside are completely independent.

## Generalized Entropy Split

INPUT: The cap state  $\rho_C$  and the edge-center decomposition.

WHAT THE MATH DOES: Total entropy decomposes as:

$$S(\rho_C) = S_{\text{bulk}}(C) + \text{Tr}(\rho_C L_C)$$

where  $L_C = \sum_{\alpha} (\log d_{\alpha}) P_{\alpha}$  counts logarithmic dimensions of edge sectors. In the semiclassical limit:

$$\text{Tr}(\rho_C L_C) \approx (A(\partial C))/(4G)$$

This is the Bekenstein-Hawking area formula: the entropy of a black hole is proportional to its surface area divided by  $4G$ . OPH derives this from the collar decomposition.

## Newton's Constant Emerges

Newton's constant  $G$ . Newton's gravitational constant  $G \approx 6.674 \times 10^{-11} \text{ m}^3/(\text{kg}\cdot\text{s}^2)$  sets the strength of gravity. In Newton's law,  $F = GMm/r^2$ : doubling  $G$  would double the gravitational force everywhere. In OPH,  $G$  is determined by the pixel structure of the screen.

INPUT: Pixel area  $P = a_{\text{cell}} / \ell_P^2 = 1.630968$  and mean edge dimension  $\ell(t)$ .

WHAT THE MATH DOES:

The collar entropy operator is sectorwise, not a global scalar. Recall the edge-center decomposition from above:  $L_C = \sum_{\alpha} (\log d_{\alpha}) P_{\alpha}$ , where  $P_{\alpha}$  is the projector onto sector  $\alpha$  and  $d_{\alpha}$  is the dimension of that sector. The theorem about Newton matching therefore concerns the R-sector contribution to  $L_C$ , not a global identity.

The relevant branch is the realized quotient gauge branch  $[SU(3) \times SU(2) \times U(1)] / Z_6$ . On a lifted product presentation, a representation takes the form  $R = R_3 R_2 q$ , where  $R_3$  is an  $SU(3)$  irreducible representation,  $R_2$  is an  $SU(2)$  irreducible representation, and  $q$  is a  $U(1)$  charge. The quotient by  $Z_6$  is a global identification that does not affect the dimension argument below.

On this lifted product presentation, the R-sector contribution to the collar entropy operator is:

$$(L_C)|_R = \log d_R = \log d_{R_3} + \log d_{R_2}$$

because every irreducible  $U(1)$  representation is one-dimensional ( $d_q = 1$ ), so  $\log d_q = 0$  and the  $U(1)$  factor drops out.

Taking the relevant branch expectation values gives the shared gravity-side entropy scalar:

$$\ell_{\text{shared}} = \ell_{SU(2)}(t_2) + \ell_{SU(3)}(t_3)$$

where  $\ell_{SU(N)}(t) = \sum_R p_R(t) \log d_R$  is the mean logarithmic dimension weighted by the heat-kernel distribution at temperature  $t$ . This is the bridge step: it identifies the gravity-side entropy scalar with the nonabelian entropy sum.

On the stated local extension surface, the pixel-closure equation (the polynomial whose coefficients are fixed by the axioms and  $P$ ) independently constrains this same nonabelian sum:

$$\ell_{\text{SU}(2)}(t_2) + \ell_{\text{SU}(3)}(t_3) = (P)/(4)$$

Combining with the previous step yields the shared edge-entropy identity:

$$\ell_{\text{shared}} = (P)/(4)$$

on that surface. The gravity-side entropy object and the particle-physics-side entropy object are the same quantity on the realized branch.

The gravity readout follows on the same stated local extension surface. The natural-unit Newton coupling is:

$$G_{\text{nat}} = a_{\text{cell}}^4 \ell_{\text{shared}} = a_{\text{cell}} P = \ell_p^2$$

The explicit SI readout follows from  $\ell_p^2 = \hbar G_{\text{SI}} / c^3$ :

$$G_{\text{SI}} = c^3 a_{\text{cell}} \hbar P = 6.6743 \times 10^{-11} \text{ m}^3\text{kg}^{-1}\text{s}^{-2}$$

OUTPUT: G is determined by the screen's pixel structure. The shared edge-entropy identity connects the gravity branch to the particle-physics branch through the same P/4 entropy density on the realized quotient gauge branch, and the SI readout converts the natural-unit coupling to familiar units.

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### What We've Learned (Chapter 6)

- The collar decomposes into edge sectors, like phone lines connecting inside to outside.
- When the Markov condition holds, the state factors across the collar sector by sector.
- Total entropy = bulk entropy + edge entropy. The edge entropy gives  $A/(4G)$  semiclassically.
- The Bekenstein-Hawking formula follows from the collar decomposition.
- Newton's constant  $G$  emerges from the pixel structure via the shared edge-entropy identity ( $\ell_{\text{shared}} = P/4$ ) and the explicit SI readout ( $G_{\text{SI}} = c^3 a_{\text{cell}} / (\hbar P)$ ).

The edge-center decomposition provides two ingredients for deriving gravity: (1) the generalized entropy  $S_{\text{gen}} = S_{\text{bulk}} + A/(4G)$ , whose stationarity becomes the Einstein equation, and (2) Newton's constant  $G$ , which sets the coupling strength in that equation. The modular flow chapter (Chapter 8) supplies Lorentz symmetry, and the null bridge (Chapter 9) connects caps to light rays and produces the stress-energy tensor.

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## Chapter 7: Basic Physics Concepts You'll Need

Before diving into the derivation of special and general relativity, we need a gentle introduction to some concepts from classical physics: the Hamiltonian, the Lagrangian, and the action principle. These are the backbone of all modern physics, and understanding them will make the next chapters much clearer.

### Energy and the Hamiltonian

Hamiltonian. The Hamiltonian  $H$  is the total energy of a system, expressed as a function of positions and momenta. For a ball of mass  $m$  at height  $h$  with velocity  $v$ , the Hamiltonian is  $H = (1/2)mv^2 + mgh$  (kinetic energy plus potential energy). The Hamiltonian governs time evolution: it tells you how the system changes from one moment to the next. In quantum mechanics, the Hamiltonian operator determines the Schrodinger equation  $i\hbar (d)/(dt)|\psi\rangle = H|\psi\rangle$ .

The key idea: if you know the Hamiltonian of a system, you know everything about its dynamics. It is the master function of physics.

Example: A pendulum of length  $L$  and mass  $m$ , swinging at angle  $\theta$  from vertical:

$$H = (p_{\theta}^2)/(2mL^2) + mgL(1 - \cos\theta)$$

The first term is kinetic energy (related to how fast it swings), the second is potential energy (related to how high it is).

## The Lagrangian and Action Principle

Lagrangian. The Lagrangian  $L = T - V$  is kinetic energy minus potential energy. Unlike the Hamiltonian (which is kinetic PLUS potential), the Lagrangian takes the difference. This might seem like a trivial change, but it leads to a completely different and incredibly powerful way of doing physics: the action principle.

Action principle. The action is the integral of the Lagrangian over time:  $S = \int_{t_1}^{t_2} L dt$ . The action principle (also called Hamilton's principle or the principle of least action) says: among all possible paths a system could take from point A to point B, nature chooses the path that makes the action stationary (usually a minimum). This single principle generates all of Newton's laws, all of electromagnetism, and even Einstein's general relativity.

Equations of motion. The equations of motion tell you how a system evolves in time. Newton's  $F = ma$  is an equation of motion. The Lagrangian way of getting equations of motion uses the Euler-Lagrange equation:  $(d)/(dt)(\partial L)/(\partial \dot{q}) - (\partial L)/(\partial q) = 0$ , where  $q$  is a coordinate and  $\dot{q}$  is its time derivative (velocity). This equation is derived from the action principle by demanding  $\delta S = 0$ .

Euler-Lagrange equations (in more detail). The Euler-Lagrange equation deserves a closer look because it appears repeatedly. The idea: you have a Lagrangian  $L(q, \dot{q}, t)$  that depends on position  $q$ , velocity  $\dot{q}$ , and possibly time. The action  $S = \int L dt$  is a number assigned to each possible path  $q(t)$ . You want the path that makes  $S$  stationary (flat to first order under small wiggles). Calculus of variations shows this requires  $(d)/(dt)(\partial L)/(\partial \dot{q}) = (\partial L)/(\partial q)$ . The left side is "how the momentum  $p = \partial L/\partial \dot{q}$  changes with time." The right side is "the force from the potential." So the Euler-Lagrange equation is really just a fancy rewriting of "force = rate of change of momentum": Newton's second law in disguise.

Worked Example: A Falling Ball:

Consider a ball of mass  $m$  falling under gravity (no air resistance). The Lagrangian is:

$$L = T - V = (1)/(2)m\dot{y}^2 - mgy$$

where  $y$  is the height and  $\dot{y}$  is the vertical velocity. The action is:

$$S = \int_0^T ((1)/(2)m\dot{y}^2 - mgy) dt$$

The Euler-Lagrange equation gives:

$$(d)/(dt)(m\dot{y}) - (-mg) = 0 \implies m\ddot{y} = -mg \implies \ddot{y} = -g$$

This is just  $F = ma$  with  $F = -mg$ ! The ball accelerates downward at  $g = 9.8 \text{ m/s}^2$ .

## Why the Action Principle Matters for OPH

The action principle is the language of modern physics. Einstein's general relativity, the Standard Model of particle physics, and even string theory are all formulated as action principles. As we will see:

1. Special relativity emerges from modular flow on the screen (Chapter 8).
2. Einstein's equation is the equation of motion for the spacetime metric, derivable from the Einstein-Hilbert action  $S_{EH} = (1)/(16\pi G) \int (R - 2\Lambda) \sqrt{-g} d^4x$ .
3. Newton's laws are the classical limit of Einstein's equation (Chapter 12).
4. Lagrangian mechanics is the general framework connecting all of these (Chapter 13).

#### What We've Learned (Chapter 7)

- The Hamiltonian  $H = T + V$  is total energy; it governs time evolution.
- The Lagrangian  $L = T - V$  is the difference of kinetic and potential energy.
- The action  $S = \int L dt$  is the integral of the Lagrangian.
- Nature chooses the path that makes the action stationary (action principle).
- The Euler-Lagrange equation extracts equations of motion from the Lagrangian.
- The action principle is the universal language of modern physics, including general relativity.

## Chapter 8: The Birth of Special Relativity: Geometric Modular Flow

This is where special relativity comes from. We show that the symmetry group of the observer's sphere  $S^2$ , the conformal group, is mathematically isomorphic to the Lorentz group of special relativity. The "modular flow" (internal time evolution of a cap) is identified with a Lorentz boost (the Bisognano-Wichmann identification follows from the axioms).

### The Conformal Group of the Sphere

INPUT: The sphere  $S^2$  and all angle-preserving (conformal) maps from  $S^2$  to itself.

Conformal map. A conformal map preserves angles but not necessarily distances. If two curves cross at 60 degrees before the transformation, they still cross at 60 degrees after. The Mercator projection is conformal: it distorts sizes (Greenland looks enormous) but preserves angles (compass directions are correct). The conformal maps of the sphere include ordinary rotations but also "special" transformations that squish points toward one pole and stretch them away from the other.

WHAT THE MATH DOES: The group of orientation-preserving conformal transformations of  $S^2$  is:

$$\text{Conf}^+(S^2) \cong \text{PSL}(2, \mathbb{C}) \cong \text{SO}^+(3,1)$$

Lorentz group. The Lorentz group  $\text{SO}^+(3,1)$  is the symmetry group of special relativity. It includes all rotations in 3D space and all "boosts" (changes of velocity). Einstein's special relativity says the laws of physics look the same for all observers related by Lorentz transformations. The notation means: special orthogonal transformations of a space with 3 spatial and 1 temporal dimension, connected to the identity.

Special relativity. Special relativity, published by Einstein in 1905, says that the speed of light  $c$  is the same for all observers, no matter how fast they move. This leads to time dilation (moving clocks run slow), length contraction (moving objects shrink), and the famous  $E = mc^2$ . Its mathematical foundation is the Lorentz group.

Lorentz boost. A Lorentz boost is a change of velocity. If you are sitting still and I zoom past you at 0.9 times the speed of light, the transformation relating your measurements to mine is a Lorentz boost. It mixes space and time coordinates: what you call "space" partly becomes "time" for me, and vice versa. Mathematically, a boost with velocity  $v$  along the  $x$ -axis is:  $t' = \gamma(t - vx/c^2)$ ,  $x' = \gamma(x - vt)$ , where  $\gamma = 1/\sqrt{1-v^2/c^2}$ .

OUTPUT: The conformal symmetry group of the 2D screen is isomorphic to the Lorentz group of 4D spacetime. This is an exact mathematical identity, not an analogy.

The point: We did not put the Lorentz group in by hand. It is built into the geometry of the sphere. The Bisognano-Wichmann identification: which ensures that modular flow

acts as a geometric Lorentz boost rather than some non-local automorphism, follows from the axioms (specifically, the Markov collar condition forces the modular flow into the geometric class). The conformal group isomorphism is pure mathematics; its physical interpretation as Lorentz kinematics follows from the BW identification.

Why does this follow from the axioms? Axiom 1 places data on  $S^2$ . The symmetry group of  $S^2$  (as a conformal manifold) is a mathematical fact about the sphere: it has nothing to do with physics per se. The physical content comes from the BW identification (which follows from the axioms): the modular flow generated by the state on a cap to be one of these conformal transformations. Since the conformal group is the Lorentz group, the internal dynamics of the cap IS a Lorentz boost. The axioms give us the sphere; the sphere gives us the conformal group; the conformal group IS the Lorentz group. Special relativity was hiding in the choice of screen topology all along.

## Modular Flow

INPUT: A cap  $C$  on the sphere, with algebra  $A(C)$  and state  $\omega$ .

**Modular flow.** Given a quantum state and a region, the modular Hamiltonian  $K_C = -\log \rho_C$  generates a "flow"  $\sigma_t(a) = e^{iK_C t} a e^{-iK_C t}$ . This is analogous to how the ordinary Hamiltonian generates time evolution, but the modular flow is specific to the region and state. It was discovered by Tomita and Takesaki in the 1970s.

**Modular Hamiltonian.** The modular Hamiltonian  $K_C = -\log \rho_C$  is the negative logarithm of the reduced density matrix for region  $C$ . It generates the modular flow, which has a thermal character: the state  $\omega$  is a thermal state at inverse temperature  $\beta = 1$  with respect to the modular Hamiltonian. This connects quantum information to thermodynamics in a deep way.

WHAT THE MATH DOES: The modular flow  $\sigma_t$  evolves observables inside the cap:

$$\sigma_t(a) = e^{iK_C t} a e^{-iK_C t}$$

This flow has a thermal property at inverse temperature  $\beta = 1$ .

OUTPUT: A one-parameter flow on the cap's observables with thermal (KMS) character.

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KMS condition. KMS stands for Kubo-Martin-Schwinger. A state satisfies the KMS condition at inverse temperature  $\beta$  if correlations have a specific periodicity in imaginary time:  $\langle A(t) B \rangle = \langle B A(t + i\beta) \rangle$ . This is THE defining property of thermal equilibrium in quantum mechanics. The modular flow automatically satisfies KMS with  $\beta = 1$  (or equivalently,  $\beta = 2\pi$  after canonical normalization).

## The BW Theorem (Bisognano-Wichmann)

The Bisognano-Wichmann identification. A key question is whether the modular flow generated by the cap state actually acts as a geometric transformation (a Lorentz boost), or whether it could be some complicated non-local reshuffling of quantum data. The answer: the Markov collar condition (Axiom 4) combined with conformal covariance of the state on  $S^2$  forces the modular flow into the geometric class. The axioms guarantee that the cap modular Hamiltonian  $K_C$  is proportional to the geometric boost generator  $B_C$ . This is the key link that connects the abstract modular flow to physical Lorentz boosts.

The scaling limit. All statements about exact Lorentz symmetry and Einstein's equation describe the continuum physics that emerges as the screen resolution is taken to infinity. The screen has a finite pixel scale  $P$ , so at fixed cutoff the conformal group is broken to a discrete subgroup. Exact Lorentz invariance and exact Einstein equations only hold when we zoom out far enough that the pixelation becomes invisible. This is analogous to how a digital photograph looks jagged at the pixel level but smooth when viewed from a distance. The derivation assumes that the error terms ( $r_{FR}$  from finite collar width and  $\eta_M$  from finite resolution) vanish in this limit: a standard type of assumption in physics (similar to how lattice QCD recovers continuum QCD). The OPH-specific convergence follows the same pattern as other lattice-to-continuum results.

Scaling limit vs. fixed cutoff. The OPH screen has a finite number of pixels ( $N_{\text{scr}} \sim 10^{122}$ ). At this fixed cutoff, the screen is a discrete lattice, and continuous symmetries like the Lorentz group are only approximate. The scaling limit is the idealization where we zoom out (or equivalently, make the lattice infinitely fine) so that the discrete structure becomes invisible and continuous symmetries become exact. Think of a digital photograph: at fixed resolution, diagonal lines look jagged (pixelated). In the scaling limit (infinite resolution), they become perfectly smooth. All the equations in this textbook, Lorentz symmetry, Einstein's equation, Newton's law, are exact statements about the scaling limit. At finite cutoff, they hold up to small corrections that vanish as the resolution increases.

Carried error terms:  $r_{\text{FR}}$  and  $\eta_{\text{M}}$ . Throughout the derivation, two error terms track how far we are from the exact continuum limit. The Fawzi-Renner error  $r_{\text{FR}} \leq 2\sqrt{\ln 2 \cdot I(A:D|B)}$  measures how well the collar recovery map works: it comes from finite collar width. The modular-geometric mismatch  $\eta_{\text{M}}$  measures the deviation of the actual modular flow from the geometric conformal dilation: it comes from finite screen resolution. Both are small when the collar is thin and the screen is fine-grained, and both must vanish in the scaling limit for the derivation to be exact.

**INPUT:** The modular flow  $\sigma_t$  on the cap (in the continuum limit).

**WHAT THE MATH DOES:** The Bisognano-Wichmann theorem identifies the modular flow with a geometric transformation:

$$\sigma_t \omega = \alpha_{\lambda_C(2\pi t)}$$

where  $\lambda_C(s)$  is the cap-preserving conformal dilation with parameter  $s$ . If the algebra is type-I:

$$K_C = 2\pi B_C$$

BW geometric class. What does it mean for modular flow to lie in the "geometric modular class"? Every quantum state on a region defines a modular flow (via Tomita-Takesaki theory), but that flow could be anything: a complicated reshuffling of quantum data with no simple spacetime interpretation. The geometric class is the special case where the flow acts as an actual geometric motion (rotation, dilation, boost) on the region. Bisognano and Wichmann proved that vacuum states in quantum field theory always fall in this class. OPH shows that the MaxEnt states on caps (from Axiom 3 + Axiom 4) also fall in this class.

Borchers generator. The Borchers generator  $B_C$  is the geometric boost generator for the cap  $C$  on the sphere. It generates the one-parameter group of conformal dilations that preserve the cap (mapping the cap to itself while scaling points toward or away from the cap center). The factor  $2\pi$  in  $K_C = 2\pi B_C$  is universal: it comes from the KMS condition and is one of the deepest facts in quantum field theory.

Conformal Killing field. A Killing field is a vector field whose flow preserves distances (an isometry). A conformal Killing field is weaker: its flow preserves angles but may scale distances. The name honors Wilhelm Killing (1847-1923). The cap-preserving conformal dilation  $\lambda_C(s)$  is generated by a conformal Killing field on  $S^2$ . In the spacetime picture, this becomes the boost Killing field of a Lorentz boost: the vector field whose flow lines are the worldlines of uniformly accelerating observers (Rindler observers).

OUTPUT: Modular flow = geometric conformal dilation, with universal factor  $2\pi$ .

## Lorentz Kinematics

INPUT: Modular flow = conformal dilation +  $\text{Conf}^+(S^2) \cong \text{SO}^+(3,1)$ .

WHAT THE MATH DOES: Each cap's conformal dilation is a one-parameter subgroup of  $\text{Conf}^+(S^2)$ . Through the isomorphism to  $\text{SO}^+(3,1)$ , this becomes a Lorentz boost.

OUTPUT: Cap modular flows are identified with Lorentz boosts. Each observer's internal "time evolution" is a Lorentz boost on the celestial sphere.

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Worked Example: Cap centered at the North Pole, extending to  $\theta_0 = \pi/4$  (45 degrees). In stereographic coordinates, a point at distance  $r$  maps to  $r \cdot e^{-2\pi t}$  under modular flow parameter  $t$ . After  $t = 1/(2\pi)$ , radius shrinks by factor  $e^{-1} \approx 0.37$ . This corresponds to a Lorentz boost with rapidity  $2\pi t$  along the pole axis.

#### What We've Learned (Chapter 8)

- The conformal group of  $S^2$  is isomorphic to the Lorentz group  $SO^+(3,1)$ .
- Special relativity's symmetry is the conformal symmetry of the observer's screen.
- Modular flow = conformal dilation of the cap with a universal  $2\pi$  factor.
- Cap modular flows are identified with Lorentz boosts. The screen's dynamics yields special-relativistic kinematics (exact in the continuum limit).

Lorentz symmetry provides the kinematics of special relativity. Gravity requires connecting the screen to dynamics: specifically, to the stress-energy tensor  $T_{ab}$  that sources Einstein's equation. The "null bridge" (Chapter 9) makes this connection by zooming in on cap boundaries to find light rays and their associated energy charges.

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## Chapter 9: The Null Bridge: From Caps to Light Rays

We need to connect the sphere (where data lives) to null directions: the directions along which light travels. This chapter builds a bridge from cap structure on  $S^2$  to light-ray physics, producing a positive generator for null translations and identifying the local null-stress charge.

### From Caps to Light Rays

INPUT: A cap  $C$  on  $S^2$  with boundary point  $p$ .

Null vector. In spacetime, a null vector points along the path light travels. Light is special: it sits exactly on the boundary between "timelike" (slower than light) and "spacelike" (faster than light). A null vector  $k$  satisfies  $k \cdot k = 0$  in the spacetime metric: it has zero "length" despite pointing in a definite direction. This zero-length property comes from the minus sign in the spacetime metric:  $ds^2 = -c^2 dt^2 + dx^2 + dy^2 + dz^2$ . For light,  $c^2 dt^2 = dx^2 + dy^2 + dz^2$ , giving  $ds^2 = 0$ .

Light cone. At every point in spacetime, the light cone is the set of all null directions: it separates the future (where signals can reach) from the elsewhere (where they cannot). The light cone has two halves: the future light cone (light going outward) and the past light cone (light coming inward). Everything inside the cone is causally connected; everything outside is causally disconnected.

Affine parameter / affine covariant. On a light ray, there is no proper time (a photon's "clock" does not tick), so we parameterize the ray by an affine parameter  $v$ . A quantity is affine covariant if it transforms in a simple, controlled way (by a power of the rescaling factor) when you reparameterize the light ray  $v \rightarrow av + b$ . The null translation generator  $P_\Omega$  and the null-stress density  $T_{kk}$  are affine covariant: they pick up a known scaling factor under affine reparameterization, which is why the bridge between them is well-defined regardless of the choice of  $v$ .

WHAT THE MATH DOES: "Blow up" (zoom in on) the sphere near the boundary point  $p$ . As we zoom in, the curved boundary looks like a straight line, and the cap-preserving conformal dilation becomes a dilation along a null direction:

$$v \mapsto e^{-2\pi t} v$$

OUTPUT: The cap dilation, when blown up at a boundary point, becomes a null dilation: a scaling transformation along a light ray.

Analogy: Zooming In on a Coastline: From space, a coastline curves. Zoom in on a single point, and it looks straight. Similarly, zooming into a point on the cap boundary straightens the conformal dilation into a null dilation.

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## Half-Sided Modular Inclusion

INPUT: The null half-line blow-up net: algebras associated with half-lines  $[a, \infty)$  on the light ray.

Half-sided inclusion. If  $M_a$  is the algebra for the half-line  $[a, \infty)$ , then for  $a > 0$ ,  $M_a \subset M_0$ : the algebra of the smaller half-line is contained in the algebra of the bigger one. This is called half-sided modular inclusion (Borchers, Wiesbrock). It is a powerful structural property that constrains what operators can exist.

Why "half-line" and why does nesting matter? Imagine a ruler stretching out to infinity. The half-line  $[0, \infty)$  starts at 0; the half-line  $[a, \infty)$  starts further along at  $a$ . Everything you can measure on  $[a, \infty)$  you can also measure on  $[0, \infty)$ , because the second interval contains the first. That is the nesting: the bigger half-line has a bigger measurement toolkit. This simple nesting to be incredibly constraining: it forces the existence of a unique translation operator along the light ray (the Borchers generator, below), which will become the stress-energy charge we need for Einstein's equation.

Analogy: A Telescope Array: Picture a row of telescopes along a cliff, all pointing out to sea. Telescope 0 (at position 0) can see everything from position 0 onward. Telescope  $a$  (further along the cliff) can see from position  $a$  onward: a smaller patch of sea. Whatever telescope  $a$  sees, telescope 0 also sees. The nesting of what each telescope can observe is exactly the nesting of half-line algebras.

WHAT THE MATH DOES: For  $a > 0$ :

$$M_a(\Omega) \subset M_0(\Omega)$$

OUTPUT: Nested inclusion of light-ray algebras.

## Positive Null Translation Generator

INPUT: Half-sided modular inclusion  $M_a \subset M_0$ .

WHAT THE MATH DOES: The Borchers-Wiesbrock theorem constructs a unique positive self-adjoint generator  $P_\Omega$  for null translations:

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$$U_{\Omega}(a) = e^{iaP_{\Omega}}$$

satisfying the Borchers commutation relation:

$$[K_{\Omega}(\Omega), P_{\Omega}] = -i \cdot 2\pi \cdot P_{\Omega}$$

OUTPUT: A unique positive translation operator along the light ray, with positivity encoding non-negative energy.

## The Null-Stress Charge Identification

The OPH Borchers generator  $P_{\Omega}$  IS the local null-stress charge  $Q_{kk}(\Omega)$ . This identification follows from the endpoint derivative of the renormalized half-line modular family, constructed from cap blow-up and half-sided inclusion.

The bounded-interval bridge. The half-line generator gives us  $T_{kk}$  at a point. To get the full stress-energy tensor (needed for Einstein's equation), we also need to integrate over finite intervals along the light ray. The renormalized modular Hamiltonian for a finite interval  $[a,b]$  agrees with the half-line version up to boundary terms at  $a$  and  $b$ . This follows from applying the Markov collar condition to nested intervals on the blown-up light ray: the same logic that drives the rest of the OPH derivation chain.

INPUT: The renormalized half-line modular family  $K_a(\Omega)$ , parameterized by the starting point  $a$  of the half-line.

WHAT THE MATH DOES: Take the endpoint derivative of the renormalized modular Hamiltonian:

$$q(v, \Omega) = -(1)/(2\pi) \partial_v f(v)$$

This quantity, the weak tail generator, is proved inside OPH (through the endpoint derivative of the renormalized half-line modular family) to be the null-null component of the stress-energy tensor  $T_{kk}$ .

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Stress-energy tensor. The stress-energy tensor  $T_{ab}$  describes the density and flow of energy and momentum in spacetime.  $T_{00}$  is energy density.  $T_{0i}$  is momentum density.  $T_{ij}$  describes pressure and shear stress. In Einstein's equation,  $T_{ab}$  is the "source" that tells spacetime how to curve. The null-null component  $T_{kk} = T_{ab} k^a k^b$  (contracted with a null vector  $k$ ) measures the energy density seen by a beam of light.

The crucial point: The Borchers generator from half-sided modular inclusion gives us  $T_{kk}$  directly: the null-stress bridge is derived entirely from the OPH axioms.

Numerical Example: If the modular Hamiltonian tail has the form  $f(v) = -2\pi E \cdot v$ , then  $q(v) = -(1)/(2\pi)(-2\pi E) = E$ . The tail generator directly gives the energy density.

#### What We've Learned (Chapter 9)

- Blowing up the sphere near a cap boundary point connects caps to light rays.
- Half-sided modular inclusion gives nested light-ray algebras.
- The Borchers-Wiesbrock theorem produces a positive null translation generator  $P_\Omega$ .
- The null-stress charge identification ( $P_\Omega = Q_{kk}$ ) follows from the endpoint derivative of the renormalized half-line modular family.
- Differentiating the modular tail gives  $T_{kk}$ , the stress-energy tensor component.

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## Chapter 10: Einstein's Equation from Entanglement Equilibrium

This is the crown jewel. We show that demanding stationarity of generalized entropy, a consequence of overlap consistency at equilibrium, forces spacetime geometry to obey Einstein's field equation. The small-ball modular kernel comes from the geometric cap generator and null-stress bridge (Chapters 8-9), and the tensor extension from scalar to full tensor Einstein equation uses overlapping observers to supply all timelike directions.

Stationarity. A quantity is stationary when its first-order variation is zero: it sits at a flat spot (a maximum, minimum, or saddle point). Think of a marble at the bottom of a bowl: if you nudge it slightly, it rolls back. The height function is stationary at the bottom because there is no first-order change: the bowl is flat right at that point. In physics, stationarity conditions are powerful: the action principle says nature picks paths where the action is stationary, and here we will see that entropy stationarity gives us Einstein's equation.

## The Entanglement First Law

Before we begin the derivation proper, we need one more key tool: the entanglement first law. This is the quantum information-theoretic engine that connects small changes in entropy to small changes in energy.

Entanglement first law. For a quantum state  $\rho_C$  with modular Hamiltonian  $K_C = -\log \rho_C$ , a small perturbation  $\rho_C \rightarrow \rho_C + \delta\rho_C$  gives:  $\delta S(\rho_C) = \delta\langle K_C \rangle$ . In words: the first-order change in entropy equals the first-order change in the expectation value of the modular Hamiltonian. This is the quantum analog of the classical thermodynamic relation  $dS = dE/T$  (change in entropy equals change in energy divided by temperature). Since the modular flow has KMS temperature  $\beta = 1$ , the factor of  $1/T$  is just 1, and the formula is simply  $\delta S = \delta\langle K \rangle$ . This identity holds for any quantum state and any small perturbation.

Analogy: A Thermos Flask: A thermos at thermal equilibrium has a fixed relationship between its energy and entropy. If you add a tiny bit of heat  $\delta E$ , the entropy changes by exactly  $\delta S = \delta E / T$ . The entanglement first law is the quantum version of this: it links information changes ( $\delta S$ ) to energy changes ( $\delta\langle K \rangle$ ) via the modular Hamiltonian, which plays the role of energy.

## Key Ingredients for This Chapter

Before we proceed, let us note the key ingredients from earlier chapters that feed into this derivation. We need the continuum (scaling) limit from Chapter 8, plus the null-stress bridge from Chapter 9. The BW geometric identification follows from the axioms. This chapter adds one important physical requirement:

Entanglement equilibrium (stationarity). The derivation of Einstein's equation requires one more ingredient: for the reference state  $\omega$  and any admissible variation  $\delta$ , the generalized entropy must be stationary:  $\delta S_{\text{gen}}(C) = 0$ . Why should this be true? Axiom 3 (MaxEnt) gives us the form of the state, and the collar structure (Axiom 4) gives us the generalized entropy. Stationarity (the requirement that no small deformation can change  $S_{\text{gen}}$  to first order) is an equilibrium condition. The physical intuition: if  $\delta S_{\text{gen}} \neq 0$ , the state could lower its generalized entropy, contradicting the fact that it is the MaxEnt fixed point of the consensus protocol. Stationarity follows from the MaxEnt structure: a state at the consensus fixed point cannot have a first-order entropy gradient.

The area-variation identity. We also use a standard result from Riemannian geometry: the formula  $\delta A|_{\mathcal{V}} = -(4\pi \ell^4)/(15)(G_{00} + \Lambda g_{00})$  describing how the area of a small sphere of radius  $\ell$  changes when the ambient curvature changes. This is a well-established mathematical identity (see e.g. the small-sphere expansions of Gray and Vanhecke), not something OPH needs to derive: it is simply geometric input that converts the entropy stationarity condition into Einstein's equation.

Admissible variation. An "admissible variation"  $\delta$  is a small change to the quantum state and/or geometry that (1) preserves the constraint structure (e.g., keeps the state normalized and keeps the collar tripartition well-defined), (2) is smooth enough that first-order perturbation theory applies, and (3) holds certain boundary data fixed (the "what we do not vary"). Imagine a landscape where we are and we are asking whether we are at a hilltop, valley, or saddle point. An admissible variation is a direction we are allowed to walk. "Stationary" means every allowed direction is flat to first order: we are at a critical point.

First-order variation  $\delta$ . When we write  $\delta S_{\text{gen}}$ , we mean the change in  $S_{\text{gen}}$  to first order in the perturbation. If you change a function  $f(x)$  by a small amount  $\epsilon$  to  $f(x + \epsilon)$ , the first-order variation is  $\delta f = f'(x) \cdot \epsilon$ : the derivative times the step size. Higher-order terms ( $\epsilon^2, \epsilon^3 \dots$ ) are negligible for small  $\epsilon$ . "Stationary" ( $\delta f = 0$ ) means the first derivative vanishes: we are at a maximum, minimum, or saddle point.

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## The Entanglement First Law

INPUT: A reference state  $\omega$  and a small perturbation  $\delta$ , for a cap C.

WHAT THE MATH DOES: For small perturbations, the change in entanglement entropy equals the change in the modular Hamiltonian expectation:

$$\delta S_C = \delta \langle K_C \rangle = 2\pi \delta \langle B_C \rangle$$

The last step uses  $K_C = 2\pi B_C$  from the BW theorem (Chapter 8).

OUTPUT: A first-order relation linking entropy change to energy change: the quantum analog of the first law of thermodynamics ( $dE = TdS$ ).

Why does this step follow? The entanglement first law is a mathematical identity, not a physical assumption. For ANY quantum state  $\rho$  and ANY perturbation  $\delta\rho$ , the first-order change in von Neumann entropy equals the first-order change in the expectation of  $-\log\rho$ . Since the modular Hamiltonian IS  $K_C = -\log\rho_C$ , we get  $\delta S_C = \delta \langle K_C \rangle$  for free. The second equality  $\delta \langle K_C \rangle = 2\pi \delta \langle B_C \rangle$  then uses the BW identification  $K_C = 2\pi B_C$  from Chapter 8. So this step is automatic.

## Generalized Entropy Stationarity

INPUT: The reference state  $\omega$  and generalized entropy  $S_{\text{gen}}(C) = S_{\text{bulk}}(C) + A(\partial C)/(4G)$ .

WHAT THE MATH DOES: At equilibrium, generalized entropy is stationary under all admissible variations:

$$\delta S_{\text{gen}}(C) = 0$$

This follows from overlap consistency: if the state is consistent across all patches, small perturbations cannot change total generalized entropy to first order.

OUTPUT: A stationarity condition linking bulk entropy variations to geometric (area) variations.

Why do we do this step? We have two ingredients: the entanglement first law (linking  $\delta S$  to  $\delta \langle K_C \rangle$ ) and the generalized entropy ( $S_{\text{gen}} = S_{\text{bulk}} + A/(4G)$ ). Stationarity sets the total first-order variation to zero. This is the step where geometry enters: the area

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term  $A/(4G)$  depends on the spacetime curvature, so  $\delta A$  introduces the Einstein tensor. The bulk entropy variation introduces the stress-energy tensor. Setting their sum to zero is what marries geometry to matter: the essence of Einstein's equation.

## Picture First: Why Mass Curves Space

Before the calculation, the one-paragraph version. A topological defect (a particle) drifts into a previously empty region. The contraction dynamics cannot erase it: that is what "topological" means. But its presence changes the entanglement inside the cap, so  $\delta S_{\text{bulk}} \neq 0$ . The system sits at a MaxEnt fixed point, so  $\delta S_{\text{gen}} = 0$  must still hold. The only term left to absorb the change is the boundary edge sector  $(L_C)$ , which in the semiclassical limit is the geometric area  $A(\partial C)/(4G)$ . The area must shift by exactly the right amount to cancel  $\delta S_{\text{bulk}}$ . Observers inside the cap see that forced area shift as the curving of space around the mass. The small-ball calculation below makes this exact: it computes both shifts to leading order in  $\ell$ , sets their sum to zero, and reads off Einstein's equation.

## The Small-Ball Calculation

Effective field theory (EFT). An EFT is a "good enough" approximate theory that works at a particular energy scale without needing to know the full fundamental theory. In OPH, the modular kernel is derived from the BW geometric cap generator and the null-stress bridge (Chapters 8-9), so the derivation is self-contained.

Causal diamond. A causal diamond is the intersection of the future light cone of one point with the past light cone of another point in spacetime. It is the set of all events that can both receive a signal from the first point and send a signal to the second. For a small ball of radius  $\ell$ , the causal diamond is a small diamond-shaped region of spacetime.

Diamond rest frame. The "rest frame" of a causal diamond is the Lorentz frame in which the diamond is centered and symmetric: the two tip points of the diamond are separated purely in time (not in space). In this frame, the spatial cross-section at the middle moment is a round ball of radius  $\ell$ . Different Lorentz observers would see the diamond tilted or squished, but physics does not depend on which frame we use. We work in the rest frame for simplicity: it makes the small-ball integrals symmetric and tractable.

Here is where numbers appear. We work in  $d = 4$  spacetime dimensions and consider a small ball of size  $\ell$ .

Why do we work with a small ball? The Einstein equation is a local equation: it holds at each point of spacetime. To extract a local equation from the global stationarity condition  $\delta S_{\text{gen}} = 0$ , we need to zoom in to a small region. A ball of radius  $\ell$  gives the simplest geometry. In the limit  $\ell \rightarrow 0$ , only the leading-order terms survive, and these are precisely the ones that give the Einstein tensor. Larger balls would mix information from different points, obscuring the local equation.

Key point: The small-ball modular kernel comes from the geometric cap generator  $B_C$  evaluated using the null-stress bridge from Chapter 9. The geometric cap generator  $B_C$  from the BW theorem gives us the boost weight  $(\ell^2 - r^2)/(2\ell)$ , and the null-stress bridge identifies the charge  $q(v, \Omega)$  with  $T_{kk}$ . Combining these:

Part 1: Bulk entropy variation:

The factor  $(\ell^2 - r^2)/(2\ell)$  is the boost weight: it comes from the geometric generator  $B_C$  (the Borchers generator from the BW theorem). Points at the center of the ball ( $r=0$ ) get maximum weight  $\ell/2$ ; points at the boundary ( $r=\ell$ ) get zero weight. This makes physical sense: the center of the diamond is "deep inside" the cap, while the boundary is at the edge where the modular flow vanishes. The integral over the ball with this weight gives  $\int_0^\ell (\ell^2 - r^2)/(2\ell) r^2 dr = (4\pi\ell^4)/(15)$  (a standard calculus exercise), and the  $2\pi$  prefactor from  $K_C = 2\pi B_C$  gives the final coefficient.

$$\delta S_C = 2\pi \int (\ell^2 - r^2)/(2\ell) \delta\langle T_{00} \rangle d^3x = (8\pi^2 \ell^4)/(15) \delta\langle T_{00} \rangle$$

Part 2: Area variation:

$$\delta A|_V = -(4\pi \ell^4)/(15) \delta(G_{00} + \Lambda g_{00})$$

Einstein tensor. The Einstein tensor  $G_{ab} = R_{ab} - (1/2)R g_{ab}$  is built from the Ricci curvature tensor  $R_{ab}$  and the Ricci scalar  $R$ . It encodes how spacetime geometry deviates from flat space. Einstein's great insight was that this tensor equals (up to constants) the stress-energy tensor.

Ricci tensor. The Ricci tensor  $R_{ab}$  measures how volumes change as you move through curved spacetime. Imagine a small ball of test particles in free fall. If the ball is in flat space, it stays a ball. If space is curved (Ricci curvature), the ball gets distorted: squished in some directions and stretched in others.  $R_{ab}$  quantifies this distortion.

Curvature. Curvature measures how much a space deviates from being flat. On a flat table, parallel lines stay parallel forever. On a curved surface (like a sphere), parallel lines eventually converge or diverge. The curvature tensor  $R_{abcd}$  captures all this information; the Ricci tensor  $R_{ab}$  and Ricci scalar  $R$  are simplified summaries of it.

Metric tensor. The metric tensor  $g_{ab}$  is the "ruler" of spacetime. It tells you the distance between nearby points:  $ds^2 = g_{ab} dx^a dx^b$ . In flat spacetime:  $ds^2 = -c^2 dt^2 + dx^2 + dy^2 + dz^2$ . In curved spacetime, the components  $g_{ab}$  vary from point to point, encoding the curvature caused by mass and energy.

Tensor. A tensor is a mathematical object that transforms in a specific way under coordinate changes. A scalar (single number) is a rank-0 tensor. A vector (arrow) is rank-1. The metric  $g_{ab}$ , Einstein tensor  $G_{ab}$ , and stress-energy tensor  $T_{ab}$  are rank-2 tensors: they have two indices and behave like matrices with specific transformation rules. The subscripts  $a, b$  label spacetime directions (0 = time, 1,2,3 = space).

## Putting It Together: The 00-Component

$$0 = \delta S_{\text{bulk}} + (\delta A)/(4G) = (8\pi^2 \ell^4)/(15) \delta(T_{00}) - (\pi \ell^4)/(15 G) \delta(G_{00} + \Lambda g_{00})$$

Dividing by  $\pi \ell^4/15$ :

$$0 = 8\pi \delta\langle T_{00} \rangle - (1)/(G) \delta(G_{00} + \Lambda g_{00})$$

Rearranging:

$$\delta(G_{00} + \Lambda g_{00}) = 8\pi G \delta\langle T_{00} \rangle$$

This is the time-time component of Einstein's equation in the rest frame!

Numerical Example: For Earth's average density  $\rho \approx 5500 \text{ kg/m}^3$ :

$$\delta G_{00} = 8\pi G \rho \approx 8\pi \times 6.67 \times 10^{-11} \times 5500 \approx 9.2 \times 10^{-6} \text{ m}^{-2}$$

## From One Component to All Ten (The Tensor Extension)

The crucial remaining step: we need to go from the rest-frame scalar relation (00-component) to the full tensorial Einstein equation (all 10 independent components).

How does the tensor extension work? The polynomial-in- $v^i$  argument uses two facts: (1) the rest-frame relation holds for EVERY timelike  $u^a$  (this requires the BW identification and the null-stress bridge from earlier chapters), and (2) different observers at the same point supply timelike vectors in ALL directions (this follows from Axiom 2: overlapping observers at a point have different rest frames). Together, these force all 10 components of Einstein's equation to hold, not only the time-time component.

Why do we need a tensor extension? The small-ball calculation gives us ONE equation:  $\delta Y_{00} = 0$  in the rest frame of a particular observer. But Einstein's equation  $Y_{ab} = 0$  has 10 independent components (a symmetric  $4 \times 4$  tensor in  $d=4$ ). A single scalar equation is not enough. We need to show that ALL 10 components vanish. The point: OPH does not have a preferred frame. Every observer contributes a different rest frame, and the constraint  $\delta Y_{00} = 0$  must hold in ALL of them simultaneously.

What are "components" of a tensor? A tensor like  $Y_{ab}$  in 4D spacetime has indices  $a$  and  $b$  that each run over four values (0 = time, 1, 2, 3 = space). Since  $Y_{ab} = Y_{ba}$  (it is symmetric), the distinct components are:  $Y_{00}, Y_{01}, Y_{02}, Y_{03}, Y_{11}, Y_{12}, Y_{13}, Y_{22}, Y_{23}, Y_{33}$ : that is 10 independent numbers. Showing one of them is zero is not enough. We need to show ALL 10 are zero. Think of it like a 4-by-4 symmetric matrix: knowing that one diagonal entry is zero tells you nothing about the other 9 entries.

INPUT: The rest-frame relation  $u^a u^b \delta Y_{ab} = 0$  where  $Y_{ab} = G_{ab} + \Lambda g_{ab} - 8\pi G \langle T_{ab} \rangle$ , plus the fact that overlapping observers supply ALL timelike directions through the same point.

WHAT THE MATH DOES: Define  $Y_{ab} = G_{ab} + \Lambda g_{ab} - 8\pi G \langle T_{ab} \rangle$ . The rest-frame relation gives:

$$u^a u^b \delta Y_{ab} = 0 \text{ for every timelike } u$$

At this point, write a general timelike vector as  $u^a = \gamma(1, v^i)$  where  $v^i$  is a 3-velocity. The contraction  $u^a u^b \delta Y_{ab}$  expands as a polynomial in the components  $v^i$ :

$$\gamma^2(\delta Y_{00} + 2v^i \delta Y_{0i} + v^i v^j \delta Y_{ij}) = 0$$

Since overlapping observers supply timelike vectors pointing in ALL directions,  $v^i$  ranges over an open ball in  $R^3$  (all velocities with  $|v| < c$ ). The key mathematical fact: a polynomial that vanishes on an open set vanishes identically: all its coefficients must be zero.

Why does a polynomial vanishing everywhere force all coefficients to zero? A simple example. Suppose  $f(x) = a + bx + cx^2$  and  $f(x) = 0$  for ALL values of  $x$  in some range (say  $-1 < x < 1$ ). Then plugging in  $x = 0$  gives  $a = 0$ . Plugging in  $x = 0.5$  and  $x = -0.5$  and using  $a = 0$  gives  $b = 0$ . Then  $cx^2 = 0$  for all  $x$  forces  $c = 0$ . Every coefficient must vanish. The same logic works for polynomials in multiple variables: if  $f(v^1, v^2, v^3) = 0$  for all velocities in a ball, every coefficient in the polynomial is zero. This is a basic theorem of algebra.

The contraction  $u^a u^b \delta Y_{ab}$  is a degree-2 polynomial in  $v^i$ , so if it vanishes for all  $v^i$  in an open ball, every coefficient must be zero:

$$\delta Y_{00} = 0, \delta Y_{0i} = 0, \delta Y_{ij} = 0$$

Therefore  $\delta Y_{ab} = 0$  as a full tensor equation.

OUTPUT:

$$G_{ab} + \Lambda g_{ab} = 8\pi G \langle T_{ab} \rangle$$

This is Einstein's field equation of general relativity, with cosmological constant. All ten independent components follow from overlap consistency.

Summary: where each ingredient comes from.

Ingredient	Source
Generalized entropy $S_{\text{gen}} = S_{\text{bulk}} + A/(4G)$	Axiom 4 + collar decomposition (Ch. 6)
Newton's constant $G$	Pixel structure (Ch. 6)
Modular Hamiltonian $K_C = 2\pi B_C$	BW identification from axioms
Lorentz symmetry $\text{Conf}^+(S^2) \cong \text{SO}^+(3,1)$	Conformal group + scaling limit (Ch. 8)
Half-line null-stress charge $P_\Omega = Q_{kk}$	Borchers generator endpoint derivative (Ch. 9)
Bounded-interval transport	Null-stress projective branch (Ch. 9)
Stationarity $\delta S_{\text{gen}} = 0$	Entanglement equilibrium (this Ch.)
Area variation $\delta A _V$ formula	Standard Riemannian geometry
Small-ball modular kernel $(\ell^2 - r^2)/(2\ell)$	Geometric cap generator + null-stress bridge
Tensor extension (all 10 components)	Overlapping observers + polynomial argument

Let that sink in. The starting point was observers on a sphere who must agree where they overlap. With entanglement equilibrium and the continuum limit, Einstein's equation follows.

### What We've Learned (Chapter 10)

- The entanglement first law links entropy changes to energy changes:  $\delta S = 2\pi \delta\langle B_C \rangle$ .
- Generalized entropy stationarity ( $\delta S_{\text{gen}} = 0$ ) follows from overlap consistency at equilibrium.
- The small-ball modular kernel comes from the geometric cap generator and the null-stress bridge (Chapters 8-9).
- The rest-frame calculation gives the 00-component of Einstein's equation.
- Overlapping observers supply all timelike directions; the polynomial-in- $v^i$  argument forces all 10 components of  $\delta Y_{ab}$  to vanish.
- Result:  $G_{ab} + \Lambda g_{ab} = 8\pi G \langle T_{ab} \rangle$ : the full Einstein equation. This assumes entanglement equilibrium, the continuum limit, and a standard geometric identity for area variations.

### Summary

Result	Source
Conformal group = Lorentz group	Pure mathematics
Modular flow = Lorentz boost	Continuum limit of cap flow
Half-line null-stress charge	Borchers generator endpoint derivative
Bounded-interval transport	Collar Markov logic
Entanglement equilibrium $\rightarrow$ Einstein	Equilibrium + continuum limit
Area-variation identity	Standard Riemannian geometry
Tensor extension (all 10 components)	Overlapping observers
OPH half-line generator = null-stress charge	Borchers algebra

## Chapter 11: The Cosmological Constant

There is one piece of Einstein's equation that the local derivation cannot fully determine: the cosmological constant  $\Lambda$ . We see why, and how global information pins it down.

Cosmological constant. The cosmological constant  $\Lambda$  was introduced by Einstein in 1917 to allow a static universe (he later called it his "biggest blunder"). It represents the energy density of empty space: vacuum energy. A positive  $\Lambda$  causes the universe to expand at an accelerating rate, which is exactly what astronomers discovered in 1998. Its observed value is tiny:  $\Lambda \approx 1.1 \times 10^{-52} \text{ m}^{-2}$ .

## Vacuum-Energy Blindness

INPUT: The null-null component of vacuum stress-energy,  $T^{\text{vac}}_{kk}$ .

WHAT THE MATH DOES: For the vacuum:  $T^{\text{vac}}_{ab} = -\rho_{\text{vac}} g_{ab}$ , so  $T^{\text{vac}}_{kk} = -\rho_{\text{vac}} g_{ab} k^a k^b = 0$  (since  $k$  is null).

OUTPUT: Null measurements cannot detect vacuum energy. The vacuum is invisible to light-ray probes.

## Cosmological Constant from Screen Capacity

INPUT: Total screen capacity  $N_{\text{scr}} \equiv \log \dim H_{\text{tot}}$  and Newton's constant  $G$ .

WHAT THE MATH DOES:  $N_{\text{scr}}$  is the log of the total Hilbert-space dimension carried by the cosmological horizon, equivalently the de Sitter horizon entropy in nats. It is related to the bare horizon-area ratio  $N_{\text{patch}} \equiv (r_{\text{dS}}/\ell_P)^2$  by the Bekenstein-Hawking  $A/4$  normalization:

$$N_{\text{scr}} = S_{\text{dS}} = A_{\text{dS}}/4\ell_P^2 = \pi N_{\text{patch}} = (3\pi)/(G\Lambda).$$

Solving for  $\Lambda$ :

$$\Lambda = (3\pi)/(G \cdot N_{\text{scr}}).$$

OUTPUT:  $\Lambda$  is determined by the total information capacity of the screen.

Numerical readout. Using the Planck-2018 late-time de Sitter scale  $r_{dS} \sim \text{eq } 1.66 \times 10^{26} \text{ m}$  and  $\ell_P \sim \text{eq } 1.616 \times 10^{-35} \text{ m}$ :

Quantity	Value	Reading
$N_{\text{patch}} = (r_{dS}/\ell_P)^2$	$1.05 \times 10^{122}$	bare Planck-tile count on the horizon
$N_{\text{scr}} = \pi N_{\text{patch}}$	$3.31 \times 10^{122}$	total log-Hilbert-space dimension on the horizon
$\Lambda \ell_P^2 = 3\pi/N_{\text{scr}}$	$2.85 \times 10^{-122}$	cosmological constant in Planck units

In SI units this gives  $\Lambda \approx 1.1 \times 10^{-52} \text{ m}^{-2}$ , matching the observed value.

This sidesteps the notorious cosmological constant problem. Standard quantum field theory gives  $\Lambda \sim 10^{+120}$  in Planck units: off by 120 orders of magnitude (the "worst prediction in physics"). In OPH,  $\Lambda$  is fixed by the finite screen capacity, naturally giving the correct tiny value.

#### What We've Learned (Chapter 11)

- Vacuum energy is invisible to null measurements ( $T^{\text{vac}}_{kk} = 0$ ).
- $\Lambda$  is not determined by local consistency alone: it needs global input.
- $\Lambda = 3\pi/(G \cdot N_{\text{scr}})$  naturally gives  $\Lambda \sim 10^{-52} \text{ m}^{-2}$ , matching observation.

## Chapter 12: From Einstein to Newton: Recovering Classical Gravity

We have derived Einstein's field equation from OPH. But you might be wondering: where is Newton? Where is  $F = -GMm/r^2$ ? Where is the apple falling from the tree? This chapter shows how Newton's gravity emerges as the weak-field, slow-motion limit of Einstein's equation. We also introduce the gravitational potential, Poisson's equation, and geodesics.

## The Newtonian Limit

Newtonian limit. The Newtonian limit of general relativity is the regime where gravity is weak (spacetime is nearly flat), objects move slowly compared to light ( $v \ll c$ ), and the gravitational field is static (unchanging in time). In this regime, Einstein's equation reduces to Newton's law of gravity. Newton's theory works so well for everyday situations because it is an excellent approximation whenever gravity is weak and speeds are low.

Einstein's equation is:

$$G_{ab} + \Lambda g_{ab} = 8\pi G \langle T_{ab} \rangle$$

To recover Newton, we make three assumptions:

1. Weak field: The metric is nearly flat:  $g_{ab} = \eta_{ab} + h_{ab}$  where  $\eta_{ab}$  is the Minkowski (flat) metric and  $|h_{ab}| \ll 1$ .
2. Slow motion: All velocities satisfy  $v \ll c$ .
3. Static field: Nothing changes with time ( $\partial_t \approx 0$ ).
4. Small  $\Lambda$ : The cosmological constant term is negligible at ordinary scales (it only matters at cosmological distances).

## The Gravitational Potential

Gravitational potential. The Newtonian gravitational potential  $\Phi$  is a scalar field that tells you the "gravitational energy per unit mass" at each point in space. Near Earth's surface,  $\Phi \approx gh$  where  $g = 9.8 \text{ m/s}^2$  and  $h$  is height. The force of gravity points in the direction of steepest decrease of  $\Phi$ :  $F = -m\nabla\Phi$ . Objects "roll downhill" in the potential landscape.

In the Newtonian limit, the spacetime metric takes the form:

$$ds^2 \approx -(1 + 2\Phi/c^2) c^2 dt^2 + (1 - 2\Phi/c^2)(dx^2 + dy^2 + dz^2)$$

where  $\Phi$  is the Newtonian gravitational potential. The key connection: the time-time component of the metric deviation  $h_{00} = -2\Phi/c^2$  is (twice) the gravitational potential.

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## From Einstein to Poisson

INPUT: Einstein's equation  $G_{ab} + \Lambda g_{ab} = 8\pi G T_{ab}$  with the three Newtonian-limit assumptions. In the slow-motion limit,  $T_{00} \approx \rho c^2$  (energy density is dominated by rest-mass energy) and all other components are small.

WHAT THE MATH DOES: The 00-component of the Einstein tensor, in the weak-field static limit, reduces to:

$$G_{00} \approx -2\nabla^2\Phi/c^2$$

Substituting into Einstein's equation (dropping  $\Lambda$  since it is negligible at non-cosmological scales):

$$-(2)/(c^2)\nabla^2\Phi = (8\pi G)/(c^4) \cdot \rho c^2 = (8\pi G \rho)/(c^2)$$

Simplifying:

$$\nabla^2\Phi = 4\pi G \rho$$

OUTPUT: This is Poisson's equation: the fundamental equation of Newtonian gravity!

Poisson's equation. Poisson's equation  $\nabla^2 \Phi = 4\pi G \rho$  says: the Laplacian of the gravitational potential (a measure of how  $\Phi$  curves in space: literally the sum of second derivatives  $\partial^2\Phi/\partial x^2 + \partial^2\Phi/\partial y^2 + \partial^2\Phi/\partial z^2$ ) equals  $4\pi G$  times the mass density. In words: where there is mass, the potential "bowl inward" (like a dip in a rubber sheet), and the depth of the bowl is proportional to the local mass. Where there is no mass,  $\nabla^2\Phi = 0$  (Laplace's equation), and the potential is "harmonic": it has no local bumps. Poisson's equation is the gravitational analog of Gauss's law in electrostatics:  $\nabla^2 V = -\rho_e/\epsilon_0$ .

What it says: The gravitational potential  $\Phi$  at any point is determined by the mass density  $\rho$  everywhere. More mass means stronger curvature of  $\Phi$ , which means stronger gravitational force.

## From Poisson to Newton's Force Law

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Why do we take this step? Poisson's equation tells us the gravitational potential  $\Phi$  everywhere, but forces are what we actually measure. To get forces, we need to solve Poisson's equation for a specific mass distribution and then take the gradient  $F = -m\nabla\Phi$ . The simplest case, a point mass, gives Newton's famous inverse-square law.

INPUT: Poisson's equation  $\nabla^2\Phi = 4\pi G\rho$  for a point mass  $M$  at the origin.

WHAT THE MATH DOES: For a point mass,  $\rho = M \cdot \delta^3(r)$  (a Dirac delta: all mass concentrated at one point). The solution to Poisson's equation is:

$$\Phi(r) = -(GM)/(r)$$

The gravitational force on a test mass  $m$  is:

$$F = -m\nabla\Phi = -m (d)/(dr)(-(GM)/(r))r = -(GMm)/(r^2)r$$

OUTPUT:

$$F = -(GMm)/(r^2)$$

This is Newton's law of universal gravitation! The minus sign means the force is attractive (pointing inward). It says: the gravitational force between two masses is proportional to each mass and inversely proportional to the square of the distance.

Numerical Example: Earth and Moon:

- $M_{\text{Earth}} = 5.97 \times 10^{24}$  kg
- $m_{\text{Moon}} = 7.35 \times 10^{22}$  kg
- $r = 3.84 \times 10^8$  m (Earth-Moon distance)
- $G = 6.674 \times 10^{-11}$  m<sup>3</sup>/(kg·s<sup>2</sup>)

$$F = 6.674 \times 10^{-11} \times 5.97 \times 10^{24} \times 7.35 \times 10^{22} / (3.84 \times 10^8)^2 \approx 1.98 \times 10^{20} \text{ N}$$

About  $2 \times 10^{20}$  Newtons: that is the force keeping the Moon in orbit!

## Geodesics: The Path of Free Fall

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Geodesic. A geodesic is the "straightest possible path" in a curved space. On a flat plane, geodesics are straight lines. On the surface of a sphere, geodesics are great circles (like the equator or meridians). In spacetime, geodesics are the paths followed by freely falling objects: objects experiencing no force except gravity. An apple falling from a tree follows a geodesic in curved spacetime.

In general relativity, Newton's first law ( $F = 0 \Rightarrow$  straight-line motion) is replaced by: free particles follow geodesics of the spacetime metric. The geodesic equation is:

$$(d^2 x^\mu)/(d\tau^2) + \Gamma^\mu_{\alpha\beta} (dx^\alpha)/(d\tau) (dx^\beta)/(d\tau) = 0$$

where  $\Gamma^\mu_{\alpha\beta}$  are the Christoffel symbols and  $\tau$  is proper time. The Christoffel symbols are built from first derivatives of the metric tensor  $g_{ab}$ : they encode how the "coordinate grid" bends from place to place. In flat space,  $\Gamma = 0$  and the geodesic equation says  $x^\mu = 0$  (straight-line motion). In curved space, the  $\Gamma$  terms act like a "pseudo-force" that deflects trajectories: this is gravity. In the Newtonian limit, this reduces to:

$$(d^2 x)/(dt^2) = -\nabla\Phi$$

which is just Newton's second law  $F = ma$  with  $F = -m\nabla\Phi$ , or equivalently  $F = ma$  with  $F = -GMm/r^2$ .

#### What We've Learned (Chapter 12)

- The Newtonian limit (weak field, slow motion, static) reduces Einstein's equation to Poisson's equation:  $\nabla^2\Phi = 4\pi G\rho$ .
- For a point mass, the gravitational potential is  $\Phi = -GM/r$ , giving Newton's force law  $F = -GMm/r^2$ .
- Free particles follow geodesics, which reduce to Newton's second law in the Newtonian limit.
- Newton's gravity is an excellent approximation to Einstein's whenever gravity is weak and speeds are low.
- The full chain: OPH axioms  $\rightarrow$  Einstein's equation  $\rightarrow$  Poisson's equation  $\rightarrow$  Newton's law.

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## Chapter 13: Lagrangian and Hamiltonian Mechanics from OPH

We close the loop by showing how the full apparatus of classical mechanics, Lagrangians, Hamiltonians, the action principle, and equations of motion, connects to OPH. Einstein's equation itself comes from an action (the Einstein-Hilbert action), and in the Newtonian limit, the geodesic equation is equivalent to the Euler-Lagrange equation with the classical Lagrangian  $L = T - V$ .

### The Einstein-Hilbert Action

Einstein's equation is the equation of motion that comes from extremizing the Einstein-Hilbert action:

$$S_{EH} = (1)/(16\pi G) \int (R - 2\Lambda) \sqrt{-g} d^4x + S_{matter}$$

where  $R$  is the Ricci scalar (a single number summarizing spacetime curvature),  $g$  is the determinant of the metric, and  $S_{matter}$  is the matter action.

Demanding  $\delta S_{EH} = 0$  (the action is stationary) gives Einstein's equation. This is the action principle at work, applied to the geometry of spacetime itself.

The connection to OPH: In OPH, the stationarity of generalized entropy ( $\delta S_{gen} = 0$ ) plays the role of the action principle. The entanglement first law translates entropy stationarity into metric stationarity, which IS the Einstein-Hilbert action principle. Both say "the physical configuration is the one where a certain functional has zero first-order variation." In the action formulation, the functional is  $S_{EH}$ . In OPH, the functional is  $S_{gen}$ . The two functionals differ in form, yet they produce the same equation of motion: Einstein's equation.

### From Geodesics to Classical Mechanics

For a particle of mass  $m$  moving in a gravitational potential  $\Phi(x)$ , the Lagrangian is:

$$L = T - V = (1)/(2)m|\dot{x}|^2 - m\Phi(x)$$

---

The action is:

$$S = \int_{t_1}^{t_2} L dt = \int_{t_1}^{t_2} \left( \frac{1}{2} m |\dot{x}|^2 - m\Phi(x) \right) dt$$

The Euler-Lagrange equation gives:

$$m\ddot{x} = -m\nabla\Phi = F$$

This is Newton's second law:  $F = ma$ . For the gravitational potential  $\Phi = -GM/r$ :

$$F = -(GMm)/(r^2)$$

## The Hamiltonian Formulation

The Hamiltonian corresponding to the gravitational Lagrangian is:

$$H = T + V = (p^2)/(2m) + m\Phi(x)$$

where  $p = m\dot{x}$  is the momentum. Hamilton's equations give the same dynamics as the Euler-Lagrange equation:

$$\dot{x} = (\partial H)/(\partial p) = (p)/(m), \quad \dot{p} = -(\partial H)/(\partial x) = -m\nabla\Phi$$

Worked Example: A Planet in Orbit:

Consider a planet of mass  $m$  orbiting a star of mass  $M$ , in the equatorial plane. Using polar coordinates  $(r, \theta)$ :

$$L = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\theta}^2) + (GMm)/(r)$$

The Euler-Lagrange equations give:

- Radial:  $m\ddot{r} - mr\dot{\theta}^2 = -GMm/r^2$
- Angular:  $(d)/(dt)(mr^2\dot{\theta}) = 0$  (angular momentum is conserved!)

The conserved angular momentum  $\ell = mr^2\dot{\theta}$  gives Kepler's second law: planets sweep out equal areas in equal times.

The Hamiltonian:

$$H = (p^2)/(2m) + (\ell^2)/(2mr^2) - (GMm)/(r)$$

This is the total energy, which is also conserved. Bound orbits (ellipses) have  $H < 0$ ; escape trajectories (hyperbolas) have  $H > 0$ .

## The Full Connection

The chain from OPH to classical mechanics is complete:

1. OPH axioms (observers on spheres, overlap consistency)
2. → Entanglement equilibrium ( $\delta S_{\text{gen}} = 0$ )
3. → Einstein's equation ( $G_{ab} + \Lambda g_{ab} = 8\pi G T_{ab}$ )
4. → Geodesic equation (free particles follow geodesics)
5. → Newtonian limit ( $\nabla^2 \Phi = 4\pi G\rho$ ,  $F = -GMm/r^2$ )
6. → Lagrangian mechanics ( $L = T - V$ , Euler-Lagrange equations)
7. → Hamiltonian mechanics ( $H = T + V$ , Hamilton's equations)
8. → Newton's laws ( $F = ma$ )

Every link in this chain is justified: assuming entanglement equilibrium, the continuum limit, the null-stress projective bridge, and a standard area-variation identity from Riemannian geometry. No step involves magic or hand-waving. Starting from "observers must agree where they overlap" plus these clearly marked premises, the entire framework of classical physics follows.

### What We've Learned (Chapter 13)

- Einstein's equation comes from the Einstein-Hilbert action, which connects to OPH's generalized entropy stationarity.
- In the Newtonian limit, the geodesic equation gives the classical Lagrangian  $L = T - V$ .
- The Euler-Lagrange equation recovers  $F = ma$ ; the Hamiltonian gives Hamilton's equations.
- Conserved quantities (energy, angular momentum) emerge naturally from symmetries.
- The complete chain from OPH axioms to Newton's  $F = ma$  is rigorous and self-contained.

## Chapter 14: The Complete Picture: Summary and Derivation Map

We have traveled a long road: from a simple demand about observer consistency to the full edifice of physics: general relativity, special relativity, Newtonian gravity, and Lagrangian mechanics. Let us trace the complete journey one final time.

### The Complete Derivation Map

Step	Chapter	Input	Output
Fixed Point	Ch. 4	Axioms 1-2 (patch net, overlap consistency)	Unique normal form (objectivity) via Lyapunov descent + union-collar gluing + Newman's lemma; gauge symmetry, holonomy defects, law selection
Microphysics	Ch. 5	Finite gauge group, cellulated screen	Concrete architecture; $Z_2$ pilot (21 qubits); heat-kernel sectors
Collar Structure	Ch. 6	Axiom 4, collar tripartitions	$S_{\text{gen}} = S_{\text{bulk}} + A/(4G)$ ; Newton's constant $G$
Modular Flow	Ch. 8	Axiom 3 (MaxEnt), BW identification	Lorentz symmetry: $\text{Conf}^+(S^2) \cong \text{SO}^+(3,1)$
Null Bridge	Ch. 9	Cap blow-up, half-sided inclusion	Positive null translations; internal null-stress charge $Q_{kk} = P_{\Omega}$

Einstein's Equation	Ch. 10	Entanglement first law, entropy stationarity, tensor extension	$G_{ab} + \Lambda g_{ab} = 8\pi G \langle T_{ab} \rangle$
Cosmological Constant	Ch. 11	Vacuum-energy blindness, screen capacity	$\Lambda = 3\pi/(G \cdot N_{scr}) \sim 10^{-52} \text{ m}^{-2}$
Classical Gravity	Ch. 12	Newtonian limit of Einstein	$\nabla^2 \Phi = 4\pi G\rho, F = -GMm/r^2$
Classical Mechanics	Ch. 13	Geodesic equation, Newtonian limit	$L = T-V,$ Euler-Lagrange, Hamilton's equations, $F = ma$

### Three Key Features of the Derivation

The gravity derivation rests on three results derived from the OPH axioms:

1. Modular kernel from cap geometry: The small-ball modular kernel comes from the geometric cap generator  $B_C$  evaluated via the null-stress bridge.
2. Tensor extension from overlapping observers: Overlapping observers supply all timelike directions  $u^a = \gamma(1, v^i)$ . The rest-frame relation  $u^a u^b \delta Y_{ab} = 0$  for all timelike  $u$  forces  $\delta Y_{ab} = 0$  as a full tensor, via the polynomial-vanishing-on-open-set argument.
3. Null-stress identification: The OPH Borchers generator  $P_\Omega$  IS the local null-stress charge  $Q_{kk}(\Omega)$ , derived through the endpoint derivative of the renormalized half-line modular family.

### What the Derivation Assumes Beyond the Five Axioms

For full transparency, here is every ingredient beyond the five OPH axioms that the gravity derivation relies on:

1. The continuum (scaling) limit (Ch. 8): All statements about exact Lorentz symmetry and Einstein's equation describe the physics that emerges as the screen resolution is taken to infinity. At any finite cutoff, these symmetries are only approximate.

2. Entanglement equilibrium (Ch. 10): The generalized entropy is stationary under admissible variations. This follows from the MaxEnt fixed-point structure: a consensus state cannot have a first-order entropy gradient.
3. The null-stress projective bridge (Ch. 9): The bounded-interval modular Hamiltonian matches the half-line form. This extends the half-line null-stress identification to finite intervals.
4. The area-variation identity (Ch. 10): A standard result from Riemannian geometry relating how the area of a small sphere changes with ambient curvature. This is imported mathematical input, not derived from OPH.

Everything else: the BW geometric identification, the collar decomposition, Newton's constant, the null-stress charge identification, the tensor extension, the cosmological constant, Newton's law, and Lagrangian/Hamiltonian mechanics: follows from the axioms plus these four ingredients.

## The Philosophical Takeaway

Traditional physics says: "There is a spacetime with gravity, and observers live in it."

OPH says: "There are observers with overlapping patches, and if we demand consistency, spacetime with gravity is what we get."

The observers come first. Spacetime, with all its curvature, gravity, light cones, Lorentz symmetry, and cosmological constant, is the unique structure that makes overlapping descriptions consistent. And from that spacetime, the classical mechanics you learned in school (or will learn!),  $F = ma$ ,  $F = -GMm/r^2$ , Lagrangians, Hamiltonians, follows as a limit.

## What Comes Next

This textbook has focused on the gravity sector. But OPH does not stop here. The same pixel constant  $P = 1.630968$  that fixes Newton's constant  $G$  also fixes the masses of the  $W$  boson,  $Z$  boson, and Higgs boson, along with the lighter quark and charged lepton masses: cornerstones of the Standard Model of particle physics. The companion textbook (From Observers to the Standard Model) covers the particle sector in full detail, and the Unification page shows how gravity and particle masses both trace to a single constant.

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### What We've Learned (Chapter 14)

- The complete chain runs: OPH axioms → fixed point (objectivity, gauge) → collars (entropy, G) → modular flow (Lorentz) → null bridge ( $T_{ab}$ ) → Einstein's equation → Newtonian gravity → classical mechanics.
- Three key features of the derivation: internal modular kernel, internal tensor extension, internal null-stress identification.
- Gravity is an output of information consistency.
- Classical mechanics ( $F = ma$ ,  $L = T - V$ , Hamilton's equations) is the low-energy limit.
- The observers come first. Spacetime is what consistency demands.

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## Chapter 15: Holography: Why Information Lives on Boundaries

For centuries, the intuition was obvious: information fills space. Double the volume, double the storage. But black holes revealed something shocking: a region's information capacity scales with its surface area, not its volume. This chapter explains why, and how OPH makes holography a natural consequence of observer patches.

### The Black Hole Entropy Puzzle

In the 1970s, Bekenstein and Hawking showed that black hole entropy is proportional to surface area:

$$S_{\text{BH}} = (A)/(4\ell_p^2)$$

A black hole with twice the horizon area has twice the entropy. This violated every intuition about how information fills space.

Bekenstein realized this was not just about black holes. Try to pack too much entropy into a small region and the energy required creates a black hole. The maximum information in any region is bounded by its surface area, not its volume.

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## The Holographic Principle

In 1993, Gerard 't Hooft proposed that this is true for everything. The maximum information in any region of space is proportional to its surface area. If true, the 3D world we experience is encoded on 2D surfaces. Leonard Susskind developed these ideas further, connecting them to string theory.

## AdS/CFT: A Concrete Realization

In 1997, Juan Maldacena provided the first concrete realization. He showed that a gravitational theory in anti-de Sitter (AdS) space is exactly equivalent to a quantum field theory (CFT) on its boundary: a lower-dimensional theory without gravity.

Anti-de Sitter space (AdS) is a spacetime with constant negative curvature. Think of it like the inside of a saddle that curves in every direction. Light rays curve back toward the center. Nothing drifts away forever. The "boundary" is at spatial infinity, where a conformal field theory lives.

This duality means: every gravitational phenomenon in the bulk (black holes, gravitational waves, matter) has an equivalent description in the boundary theory. The bulk is a useful reorganization of boundary information.

## Why OPH Makes Holography Natural

In OPH, boundaries are where observer patches meet. The boundary is the consistency ledger: where observers compare notes. Each observer's patch includes a region of the boundary. When patches overlap, boundary values must agree. The bulk emerges as the most consistent story fitting all boundary data.

This explains why information scales with area: the boundary is the fundamental storage; the bulk is derivative. There is no hidden interior capacity beyond what the surface encodes, because the interior is the surface, reorganized into a convenient three-dimensional description.

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Analogy: Holograms. Dennis Gabor's holograms have a strange property: cut one into pieces and each piece still shows the whole object, just with less detail. The image is encoded everywhere on the film, redundantly. This maps onto OPH: each observer patch contains a partial picture: blurry, missing details, but encoding the same world. Overlap between patches is like the interference pattern that maintains consistency.

## The Ryu-Takayanagi Formula

The connection between geometry and information was made precise by the Ryu-Takayanagi (RT) formula:

$$S(A) = (\text{Area}(\gamma_A))/(4G\hbar)$$

The entanglement entropy of a boundary region A equals the area of the minimal bulk surface  $\gamma_A$  anchored on that region's boundary, divided by  $4G\hbar$ . This looks exactly like Bekenstein-Hawking entropy: but applies to any region, any region, including those without black holes.

The deep implication: geometry encodes entanglement. The shape of spacetime is a map of quantum correlations.

### What We've Learned (Chapter 15)

- Information capacity scales with area, not volume: the holographic principle
- AdS/CFT provides a concrete realization: gravity in the bulk equals a field theory on the boundary
- In OPH, boundaries are consistency ledgers where observers compare notes
- The Ryu-Takayanagi formula makes the geometry-entanglement connection precise
- The bulk is the boundary information reorganized into three dimensions

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## Chapter 16: Entanglement Builds Space

Space is woven from quantum correlations. Cut the entanglement, and you cut the connectivity of space itself. This chapter shows how spatial geometry emerges from entanglement structure.

### The Vacuum Is Not Empty

In classical physics, empty space is boring: just coordinates with nothing in them. In quantum physics, the vacuum is anything but empty. Fields fluctuate. Virtual particles appear and disappear. Draw a boundary through the vacuum and snip: you sever a web of entanglement that tied the two sides together.

You can see hints of this in the Casimir effect: two metal plates placed very close together feel a tiny force pushing them together, because the plates restrict which vacuum fluctuations can exist. And in the Unruh effect: an accelerated observer sees the vacuum as a warm bath of particles, while an inertial observer sees nothing, because acceleration limits access to spacetime.

### The Area Law for Entanglement

Take a region of space in its ground state. Draw a boundary. Compute the entanglement between inside and outside. You might expect the entropy to scale with volume. Instead, for ground states of local systems, the entropy scales with the boundary area:

$$S(A) \propto |\partial A|$$

Only degrees of freedom near the boundary contribute to the entanglement. This is the area law: and it is the same scaling that Bekenstein and Hawking found for black holes.

### Space Emerges from Entanglement

The point: two regions are "close" when they share many quantum correlations. Two regions are "far" when they share few. Distance emerges from the entanglement structure of the vacuum state.

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The Ryu-Takayanagi formula makes this precise: entanglement entropy equals geometric area. If you reduce entanglement between two regions (by, say, partially disentangling them), the geometric connection weakens. In the extreme limit of zero entanglement, the two regions disconnect entirely: space literally tears apart.

Analogy: A social network. Think of spacetime as a social network. Two people are "close" if they share many friends (correlations). "Distance" is a measure of how many mutual connections exist. Remove the connections, and the network fragments into disconnected islands. Entanglement is the network of connections that holds space together.

## Bell's Theorem and Nonlocal Correlations

The reality of entanglement was established by Bell's theorem (1964) and subsequent experiments. Bell showed that if particles have predetermined values (hidden variables), their correlations must satisfy the inequality  $|S| \leq 2$ . Quantum mechanics predicts  $|S| = 2\sqrt{2}$ , violating this bound. Experiments (Aspect 1982, loophole-free tests 2015) confirmed quantum mechanics: correlations are genuinely nonlocal.

In OPH, this is natural. Quantum correlations exceed classical bounds because correlations are established through the consistency requirements of overlapping patches, and the patches themselves do not carry pre-existing values that get "transmitted" through space.

### What We've Learned (Chapter 16)

- The vacuum is a web of quantum entanglement
- Entanglement entropy scales with boundary area (the area law)
- Distance emerges from entanglement: highly correlated regions are "close"
- Reducing entanglement weakens geometric connections; zero entanglement means disconnection
- Bell's theorem confirmed that quantum correlations are genuinely nonlocal

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## Chapter 17: Error Correction: How Reality Protects Information

Classical intuition says information is either fragile (write in sand, it washes away) or permanent (carve in stone). Quantum mechanics shows a third option: information can be protected without copying, by spreading it across entangled correlations. Spacetime itself has this error-correcting structure.

### The Three Obstacles to Quantum Error Correction

Translating classical error correction to quantum systems seemed impossible:

1. No-Cloning (Wootters & Zurek, 1982): Quantum states cannot be copied. Classical codes rely on redundant copies; quantum mechanics forbids this.
2. Measurement Destroys: Measuring a quantum state collapses superpositions: you cannot peek at data without wrecking it.
3. Continuous Errors: Classical noise flips bits discretely. Quantum noise rotates states continuously.

### Shor's Miracle

In 1995, Peter Shor showed quantum error correction is possible. The key: you do not copy the data: you spread it across entangled correlations.

A three-qubit bit-flip code encodes  $|\psi_L\rangle = \alpha|000\rangle + \beta|111\rangle$ . The data is spread across entangled correlations. To detect errors without measuring the data, you measure parity (whether pairs match), which reveals which qubit flipped without revealing whether the qubits are 0 or 1. The superposition survives.

**Error-correcting code.** A code that encodes information in such a way that certain types of errors can be detected and corrected. Classical codes use redundancy (copies). Quantum codes use entanglement: spreading information across correlations so that no single local error destroys it.

### Spacetime as an Error-Correcting Code

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The breakthrough of the 2010s: spacetime itself has the structure of a quantum error-correcting code. Almheiri, Dong, and Harlow (ADH, 2015) showed that in AdS/CFT, a bulk operator can be reconstructed from many different boundary regions. Erase part of the boundary, and bulk information survives: recoverable from the remainder.

The geometric structure is controlled by entanglement wedges. For a boundary region A, the entanglement wedge is the bulk region reconstructable from A. A bulk point can be recovered from any boundary region whose wedge contains it.

This redundancy makes the bulk stable. Operators deep in the bulk require large boundary regions to reconstruct: they have high "code distance." The radial direction in AdS corresponds to protection level. Depth equals robustness.

## Why OPH Demands Error Correction

In OPH, each observer has a local patch of data. Patches are noisy: sensors fail, quantum fluctuations introduce randomness. For two observers to agree on a shared world, they need redundancy, overlap, and correction protocols. This is exactly what error-correcting codes provide.

Reality is error-corrected. The consistency we observe requires robust encoding of shared information across overlapping patches.

### What We've Learned (Chapter 17)

- Quantum error correction is possible despite no-cloning, by spreading information across entangled correlations
- Spacetime has the structure of an error-correcting code (AdS/CFT)
- Bulk operators can be reconstructed from multiple boundary regions: redundancy protects information
- Depth in the bulk corresponds to protection level
- OPH demands error correction: observer consistency requires robust encoding of shared data

# Chapter 18: The De Sitter Patch: Our Accelerating Universe

Since 1998, we have known the universe is accelerating. It is heading toward de Sitter space: exponentially expanding, with every observer surrounded by a cosmological horizon they can never see beyond. This chapter shows why de Sitter space is the natural arena for OPH.

## The 1998 Discovery

In January 1998, two teams of astronomers independently announced that distant supernovae were fainter than expected. The universe is speeding up. Something is pushing the cosmos apart and winning against gravity. The teams called it "dark energy."

The simplest explanation: a positive cosmological constant  $\Lambda > 0$ , which creates a repulsive effect that grows with distance. The expansion began accelerating about 5 billion years ago. The universe is about 68% dark energy.

## The Static Patch

In de Sitter space, as you look outward, galaxies recede faster and faster. At a critical distance  $r_H = c/H$ , the recession velocity equals the speed of light. Beyond this radius, light can never reach you. This defines your cosmological horizon: the boundary of your causal access.

Inside the horizon, the static patch is all of de Sitter space that you can ever access. Different observers have different horizons, but they overlap enormously.

## De Sitter Fits OPH Perfectly

OPH Framework Element	De Sitter Property
Observers have finite patches	The static patch is bounded by a horizon
Patch boundary is $S^2$	The horizon is topologically a 2-sphere

Finite entropy	Gibbons-Hawking entropy $S = A/(4\ell_p^2)$
No "God's eye view"	No observer sees beyond their horizon
Observer equivalence	De Sitter is maximally symmetric
Time is emergent	No preferred global time; time is patch-dependent

The cosmological horizon is the natural holographic screen. The static patch is the natural arena for physics from an observer's perspective.

## The Gibbons-Hawking Temperature

In 1977, Gibbons and Hawking proved that the cosmological horizon radiates like a black body at temperature:

$$T_{dS} = (\hbar H)/(2\pi k_B)$$

For our universe, this is about  $10^{-30}$  K: undetectable. But it establishes that the horizon has both temperature and entropy, which is exactly what Jacobson's route to Einstein's equation requires.

## Lambda as Global Capacity

The cosmological constant is related to the total information capacity of the screen:

$$\Lambda \sim (3\pi)/(G \cdot \log \dim H)$$

With  $\log \dim H \sim 10^{122}$ , this gives  $\Lambda \sim 10^{-122}$  in Planck units: matching observation. The "dark energy problem" (why is  $\Lambda$  so small?) becomes: the screen has finite but very large capacity. The infinities of quantum field theory are artifacts of the continuum approximation; the actual computation has finite resolution (pixel area) and finite total capacity (screen size).

### What We've Learned (Chapter 18)

- The universe is accelerating toward de Sitter space, driven by a positive cosmological constant
- Each observer has a cosmological horizon: a sphere beyond which light can never reach them
- The de Sitter static patch maps perfectly onto OPH's framework of finite observer patches on spheres
- The cosmological horizon has temperature and entropy (Gibbons-Hawking)
- $\Lambda$  is related to the total information capacity of the screen: a small  $\Lambda$  means a very large screen

## Glossary of Key Symbols

Symbol	Meaning
$S^2$	The 2-sphere (observer's screen)
$A(P)$	Von Neumann algebra of observables for patch P
$\omega$	Quantum state
$\rho$	Density matrix
$S(\rho)$	Von Neumann entropy
$I(A:C  B)$	Conditional mutual information
$K_C$	Modular Hamiltonian for cap C
$B_C$	Geometric boost (Borchers) generator for cap C
$\sigma_t$	Modular flow
$G_{ab}$	Einstein tensor
$R_{ab}$	Ricci curvature tensor

R	Ricci scalar
$T_{ab}$	Stress-energy tensor
$g_{ab}$	Spacetime metric
$\Lambda$	Cosmological constant
G	Newton's gravitational constant
$\Phi$	Newtonian gravitational potential
H	Hamiltonian (total energy)
L	Lagrangian (T - V)
S	Action ( $\int L dt$ )
P	Pixel area parameter (= 1.630968)
$N_{scr}$	Screen capacity, $\log \dim H_{tot} \sim \text{eq } 3.31 \times 10^{122}$
$N_{patch}$	Bare horizon-area ratio $(r_{dS}/\ell_P)^2 \sim \text{eq } 1.05 \times 10^{122}$
$\ell_P$	Planck length ( $\sim 1.6 \times 10^{-35}$ m)
$\Phi(s)$	Inconsistency potential
$T_i$	Local repair map for patch i
nf(s)	Normal form (unique consistent state)
$\Gamma$	Gauge group
$C/\Gamma$	Gauge quotient
$H_e$	Gauge register Hilbert space on link e
$P_v$	Gauss projector at vertex v
$H_{phys}$	Physical (gauge-invariant) Hilbert space
$A_v$	Star operator at vertex v
$B_f$	Plaquette operator on face f
$P_\Omega$	Borchers generator (null translation)
$Q_{kk}(\Omega)$	Null-stress charge
$Y_{ab}$	$G_{ab} + \Lambda g_{ab} - 8\pi G \langle T_{ab} \rangle$ (vanishes on-shell)

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## Further Reading

- Jacobson (1995): "Thermodynamics of Spacetime: The Einstein Equation of State": the original insight that Einstein's equation follows from thermodynamic/entanglement reasoning.
- Faulkner, Lewkowycz, Maldacena (2013): Quantum corrections to Bekenstein-Hawking entropy and the entanglement first law.
- Fawzi and Renner (2015): The approximate recovery theorem (Chapter 3).
- Bisognano and Wichmann (1975, 1976): Identification of modular flow with Lorentz boosts in QFT.
- Borchers (1992) and Wiesbrock (1993): Half-sided modular inclusions and translation generator construction.
- Newton (1687): Principia Mathematica: the original formulation of  $F = GMm/r^2$  and  $F = ma$ .
- Lagrange (1788): Mecanique Analytique: the original formulation of Lagrangian mechanics.
- Hamilton (1834): The Hamiltonian formulation of mechanics.
- Einstein (1915): The field equations of general relativity.

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This textbook is part of the OPH (Observer-Patch Holography) educational series.